

The Conflict-Free Sourcing Initiative

Helping companies make informed choices about conflict minerals in their supply chains

An initiative of the Electronic Industry Citizenship Coalition and the Global e-Sustainability Initiative
www.conflictreesourcinginitiative.org / @EICCcoalition / @GeSICconnect

Audit Summary Report

Auditee Name	<i>Kennametal Fallon, Nevada</i>
Smelter ID	<i>CD005</i>
Auditee Contact Person	<i>Fallon Site Business Manager – Kristi Turley</i>
Audit Date(s)	<i>11/08/2018 – 11/09/2018</i>
Audit Type	<i>Re-audit</i>
Audited Material	<i>Tungsten</i>

Audit Company	<i>Arche Advisors</i>
Lead Auditor Name	<i>Monica Morales</i>
Date report sent to Auditee	<i>11/16/2018</i>
Date report sent to CFSP	<i>1/10/2019</i>
Report Revision #	<i>2</i>

AUDIT CONCLUSION:

<input checked="" type="checkbox"/>	The auditor found that the auditee's operations are in conformance with the requirements of the Conflict Free Smelter Program Supply Chain Transparency Smelter Audit Protocol for Tungsten, Revision 19 November 2013.
<input type="checkbox"/>	<p>The auditor identified non-conformance(s) between the auditee's operations and the requirements of the Conflict Free Smelter Program Supply Chain Transparency Smelter Audit Protocol for Tungsten, Revision 19 November 2013.</p> <p>Non-conformance(s) relate to:</p> <ul style="list-style-type: none"><input type="checkbox"/> Conflict Minerals Policy Compliance Requirements<input type="checkbox"/> Mass Balance Compliance Requirements<input type="checkbox"/> Material Type and Origin Verification <p><input type="checkbox"/> The Auditee has agreed to address the non-conformance(s), to define a Corrective Action Plan (if necessary) and undergo a verification of the implementation of corrective actions.</p> <p><input type="checkbox"/> The auditee has not agreed to address the non-conformance(s), to define a Corrective Action Plan (if necessary) and to undergo a verification of the implementation of corrective actions.</p> <p><i>Please refer to the summary on the next page for details.</i></p>