

Audit Summary Report

Auditee Name	<i>Kennametal Huntsville</i>
Smelter ID	<i>CD005</i>
Audit Period	<i>07/01/2017 - 6/30/2018</i>
Audit Date(s)	<i>11/06/2018 – 11/07/2018</i>
Audit Type	<i>Re-audit</i>
Conformance Validity	<i>3 years</i>
Relevant Material	<i>Tungsten</i>
Audit Company	<i>ARCHE Advisors</i>

Assessment Objectives

The objective of the assessment is to assess the auditee's level of conformance with the Conflict Free Smelter Program Supply Chain Transparency Smelter Audit Protocol for TUNGSTEN, Revision of Revision 19 November 2013.

Assessment Methodology

The assessment was consisted of collecting and reviewing objective evidence including documentation, management and employee interviews, facility walk-through, and other observations demonstrating that the smelter/refiner's due diligence management system conform to the requirements of the relevant Protocol.

Auditor Statements:



The information provided by the auditee is true and accurate to the best knowledge of the Auditor(s) preparing the report.



The findings are based on verified objective evidence relevant to the time period for the assessment.



The Auditor(s) have acted in a manner deemed ethical, truthful, accurate, professional, independent and objective.



The Auditor(s) are properly qualified to carry out the assessment.



There were no limitations to this audit.

Please specify in case of any limitations

Audit Conclusion:



The auditor found that the auditee's operations are in conformance with the requirements of the Conflict Free Smelter Program Supply Chain Transparency Smelter Audit Protocol for Tin and Tantalum, Revision of 21 November 2013.



The auditor identified non-conformance(s) between the auditee's operations and the requirements of the Conflict Free Smelter Program Supply Chain Transparency Smelter Audit Protocol for Tin and Tantalum, Revision of 21 November 2013.

Non-conformance(s) relate to:



Conflict Minerals Policy Compliance Requirements



Mass Balance Compliance Requirements



Material Type and Origin Verification



The Auditee has agreed to address the non-conformance(s), to define a Corrective Action Plan (if necessary) and undergo a verification of the implementation of corrective actions.



The auditee has **not** agreed to address the non-conformance(s), to define a Corrective Action Plan (if necessary) and to undergo a verification of the implementation of corrective actions.