

This document contains the 2025 Modern Slavery Statements for the Caterpillar Canadian entities which met reporting requirements for the May 31, 2026 reporting deadline. Please scroll through until you reach the specific entity report you are looking for or use the bookmarks to navigate to the specific entity report.

## Canada Modern Slavery Report - 2025

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This statement is intended to meet the requirements of Canada's *Fighting Against Forced Labour and Child Labour in Supply Chains Act*. This statement is made for the 2025 reporting period by the following reporting entity:

- Caterpillar of Canada Corporation

Caterpillar Inc., the ultimate parent company of the reporting entity in this Report, has policies that apply company-wide, including to its wholly owned subsidiaries and majority owned joint ventures, such as the reporting entity. Each reference in this statement to "Caterpillar" is a reference to the reporting entity, including their ultimate parent company, Caterpillar Inc., and all of their related entities.

Caterpillar is committed to respecting internationally recognized human rights throughout its global operations as noted in [Caterpillar's Code of Conduct Our Values in Action](#), our [Human Rights Policy](#) and our [Supplier Code of Conduct](#). While uniquely our own, Caterpillar's Human Rights Policy is informed by the Universal Declaration of Human Rights, the International Labor Organization's Declaration on Fundamental Principles and Rights at Work, and the United Nations Guiding Principles on Business and Human Rights.

As part of its commitment, Caterpillar strives to eliminate modern slavery – including forced labor and child labor – from its global operations, as set forth in further detail in this Report.

### **Our Corporate Structure, Operations, and Supply Chains**

Caterpillar of Canada Corporation is an Unlimited Liability Company incorporated in Nova Scotia and owned by Caterpillar SARL. Caterpillar of Canada has approximately 156 active employees with offices located in Alberta, Ontario and Quebec. The principal activities and operations of Caterpillar of Canada include the following:

- Provision of marketing support services to related parties for the Canada territory.
- Provision of mining solutions and related services.
- Provision of engineering support and R&D services.
- Provision of in-country funding center services.

Caterpillar of Canada has approximately 190 suppliers (including service providers). A majority of those suppliers are located in Canada and, at a high level, fall predominately within the following general categories:

- manufacturing goods;
- IT hardware and services;
- engineering services;
- environmental, maintenance, security, utilities, catering and other facility services;
- office furniture and supplies;
- freight and logistics;
- human resource services;
- insurance; and
- financial and legal services.

Caterpillar Inc. is the ultimate parent company of the reporting entity. Caterpillar Inc. is a publicly owned United States company with over 100,000 employees employed by it and its subsidiaries world-wide. Caterpillar Inc. manufactures mining and construction equipment, diesel and natural gas engines, industrial gas turbines, and diesel-electric locomotives. Caterpillar Inc. is the parent company of several hundred subsidiary companies globally, many of which are wholly owned. We have more than 150 primary locations in over 25 countries.

We have a vast network of suppliers around the world to meet our purchasing needs for our manufacturing processes and other activities.

### **Modern Slavery Risks**

Caterpillar has processes in place to track reports of any relevant concerns and, based on such data and the actions described below to assess and address modern slavery risks, Caterpillar believes the risk of modern slavery is low in its operations and those of its direct suppliers.

Caterpillar is taking the following actions to assess and address modern slavery risk where it may potentially exist.

### **Supplier Standards**

We view our direct suppliers – i.e., those with whom we have contractual relationships – as an important part of our extended value chain. Caterpillar is committed to working with them to uphold the principles in our Code of Conduct, Supplier Code of Conduct and Human Rights Policy. Our standard purchase order terms and conditions require that direct suppliers comply with: (1) all applicable laws, including those prohibiting forced labor and child labor, and (2) our Supplier Code of Conduct, including an expectation that our direct suppliers conduct all business in a manner that respects human rights.

### **Supplier Self-Assessment & Verification**

We assess potentially adverse human rights risks across our supply chain, including any risk of forced, compulsory, or child labor, slavery and human trafficking through our supplier code of conduct self-assessment program. Caterpillar uses various tools to address supply chain risk through a collaborative approach with suppliers.

We instruct critical tier 1 suppliers to complete a self-assessment. That assessment includes questions regarding the policies and procedures suppliers have in place to tackle slavery and human trafficking in their own supply chain.

In addition, Caterpillar now uses a third party in its verification process for on-site audits. Using a risk based approach, we perform on-site audits on select suppliers for social compliance regarding slavery and human trafficking in the countries in which they are doing business. No significant human rights related concerns were identified based on the audits and self-assessment response data we received. Caterpillar reserves the right to verify that our direct suppliers comply with the Supplier Code of Conduct as needed.

### **Supplier Accountability & Remediation**

In the event that Caterpillar becomes aware of any actions or conditions within its supply chain that are not in compliance with Caterpillar's Supplier Code of Conduct, Caterpillar reserves the right to require that the implicated direct supplier implement corrective measures. A direct supplier's continued non-compliance may lead to additional actions, up to and including termination of the business relationship.

In the reporting year, Caterpillar did not identify, nor was it alerted to, any instances of forced or child labor in its global operations. For this reason, there have not been any opportunities to provide remediation in

the reporting year. Therefore, no steps have been taken that have resulted in vulnerable families experiencing loss of income.

### **Reporting Modern Slavery Concerns**

We encourage any party to report situations in which they have a good faith belief that the entities or individuals covered under this Statement have taken actions that are inconsistent with the principles set forth in this Statement. We have dedicated the following confidential and anonymous means to encourage reporting by any party, maintained by our Office of Business Practices.

**Online Reporting** (can be submitted anonymously): <https://caterpillar.ethicspoint.com>

**Direct Telephone:** +1-309-675-8662 (English only)

**Toll-free Helpline:** Caterpillar maintains toll-free Helpline numbers in various countries. Inside Canada, the United States, and the U.S. Virgin Islands the number is 1-800-300-7898. Toll-free numbers currently in effect for other countries are posted at <https://www.caterpillar.com/en/company/code-of-conduct/office-of-business-practices.html>. Language translation is available for those numbers. You may remain anonymous when you call from a country in which anonymous reporting is allowed.

**Call Collect Helpline:** +1-770-582-5275 (language translation available)

**Email:** [BusinessPractices@cat.com](mailto:BusinessPractices@cat.com)

Caterpillar maintains a zero-tolerance policy for retaliation. We strictly prohibit any form of retaliation against individuals who, in good faith, raise concerns or report potential misconduct. When allegations of retaliation are reported, Caterpillar takes appropriate and necessary action to address and resolve the matter.

### **Policies & Training**

Caterpillar's Code of Conduct guides employees in their daily work by defining the behaviors that support our core values of Safety, Integrity, Teamwork, Excellence and Commitment. Reflecting our value of Commitment, Caterpillar cares deeply about human rights. We are committed to respecting internationally recognized human rights principles throughout our extended value chain. We comply with applicable local labor laws and expect those with whom we work to do the same. We do not condone, and we strive to eliminate, all forms of forced labor, child labor, and workplace discrimination. Caterpillar encourages anyone to report, in good faith, any concerns or situations that may be inconsistent with our commitment to protecting and promoting human rights.

All Caterpillar employees are required to complete Code of Conduct training, including Caterpillar's human rights commitment within the Code, on an annual basis. In addition, Caterpillar has developed targeted training programs to equip employees with the knowledge and skills needed to recognize and address key issues and risks associated with modern slavery. Caterpillar provides an online education module focused on preventing human rights abuses. While this training is available to all employees, it is mandatory for more than 38,000 employees in roles critical to preventing human rights abuses in the supply chain, including procurement, compliance, and trade. These core functions play an important role in driving awareness and cascading training across the enterprise.

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For purposes of complying with Canada’s Fighting Against Forced Labour and Child Labour in Supply Chains Act, this statement constitutes the requisite “annual report” for the financial year ending 31 December 2025, and applies to the following entity:

- Caterpillar of Canada Corporation

In accordance with the requirements of the Canada Fighting Against Forced Labour and Child Labour in Supply Chains Act, and in particular section 11 thereof, I attest that I have reviewed the information contained in the report for the entity or entities listed above. Based on my knowledge, and having exercised reasonable diligence, I attest that the information in the report is true, accurate and complete in all material respects for the purposes of the Act, for the reporting year listed above.

- o Full name: Denis Saito
- o Title: President
- o Date: 5/18/2026

DocuSigned by:  
Denis Saito  
94A6447002E2431...

I have the authority to bind Caterpillar of Canada Corporation.

Signature

## Canada Modern Slavery Report - 2025

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This statement is intended to meet the requirements of Canada's *Fighting Against Forced Labour and Child Labour in Supply Chains Act*. This statement is made for the 2025 reporting period by the following reporting entity:

- Solar Turbines Canada Ltd.

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As part of its commitment, Caterpillar strives to eliminate modern slavery – including forced labor and child labor – from its global operations, as set forth in further detail in this Report.

### **Our Corporate Structure, Operations, and Supply Chains**

Solar Turbines Canada Ltd. ("STC") is a Limited Liability Company incorporated in Canada and owned by Caterpillar Overseas SARL, based in Switzerland. Although STC has no production locations in Canada, it does have employees based in British Columbia, Alberta, Saskatchewan, Ontario, and Quebec. The principal activities and operations of STC include the following:

- Provision of gas turbines services to our customers in the oil & gas industries, including maintenance, repairs, and upgrades to equipment, and
- Provision of compressors, mechanical drives, and generator packages to customers.

STC has approximately 165 suppliers (including service providers). A large majority of those suppliers are located in Canada and the United States, and at a high level, fall predominately within the following general categories:

- manufacturing goods;
- warehouse services;
- IT hardware and services;
- engineering services;
- marketing services;
- environmental, maintenance, security, utilities, catering and other facility services;
- packaging material and services;
- office furniture and supplies;
- freight and logistics;
- human resource services;
- insurance; and
- financial and legal services.

Caterpillar Inc. is the ultimate parent company of the reporting entity. Caterpillar Inc. is a publicly owned United States company with over 100,000 employees employed by it and its subsidiaries world-wide. Caterpillar Inc. manufactures mining and construction equipment, diesel and natural gas engines, industrial gas turbines, and diesel-electric locomotives. Caterpillar Inc. is the parent company of several hundred subsidiary companies globally, many of which are wholly owned. We have more than 150 primary locations in over 25 countries.

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In the reporting year, Caterpillar did not identify, nor was it alerted to, any instances of forced or child labor in its global operations. For this reason, there have not been any opportunities to provide remediation in

the reporting year. Therefore, no steps have been taken that have resulted in vulnerable families experiencing loss of income.

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For purposes of complying with Canada’s Fighting Against Forced Labour and Child Labour in Supply Chains Act, this statement constitutes the requisite “annual report” for the financial year ending 31 December 2025, and applies to the following entity:

- Solar Turbines Canada Ltd.

In accordance with the requirements of the Canada Fighting Against Forced Labour and Child Labour in Supply Chains Act, and in particular section 11 thereof, I attest that I have reviewed the information contained in the report for the entity or entities listed above. Based on my knowledge, and having exercised reasonable diligence, I attest that the information in the report is true, accurate and complete in all material respects for the purposes of the Act, for the reporting year listed above.

- o Full name: Dawn Taylor
- o Title: Global Sales Manager
- o Date: 5/19/2026

Signed by:  
  
617CC8830F56460...  
Signature

I have the authority to bind Solar Turbines Canada Ltd.