

Clerk of the House of Representatives
Legislative Resource Center
B-81 Cannon House Office Building
Washington, DC 20515
<http://lobbyingdisclosure.house.gov>

Secretary of the Senate
Office of Public Records
144 Hart Senate Office Building
Washington, DC 20510
<http://www.senate.gov/lobby>

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name ☒ Organization/Lobbying Firm ☐ Self Employed Individual <u>Caterpillar Inc.</u>			
2. Address Address1 <u>1275 Pennsylvania Ave. NW</u> Address2 <u>Suite 1000</u> City <u>Washington</u> State <u>DC</u> Zip Code <u>20004</u> Country <u>USA</u>			
3. Principal place of business (if different than line 2) City <u>Irving</u> State <u>TX</u> Zip Code <u>75039</u> Country <u>USA</u>			
4a. Contact Name <u>Mr. Christopher J. Myers</u>		b. Telephone Number <u>2024660671</u> c. E-mail <u>Myers_Chris@cat.com</u>	
		5. Senate ID# <u>8475-12</u>	
7. Client Name ☒ Self ☐ Check if client is a state or local government or instrumentality <u>Caterpillar Inc.</u>		6. House ID# <u>313190000</u>	

TYPE OF REPORT

8. Year 2025 Q1 (1/1 - 3/31) ☐ Q2 (4/1 - 6/30) ☐ Q3 (7/1 - 9/30) ☒ Q4 (10/1 - 12/31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ Termination Date _____ 11. No Lobbying Issue Activity ☐

INCOME OR EXPENSES - YOU MUST complete either Line 12 or Line 13

12. Lobbying INCOME relating to lobbying activities for this reporting period was: <u>Less than \$5,000</u> ☐ <u>\$5,000 or more</u> ☐ \$ _____ Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income for the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	13. Organizations EXPENSE relating to lobbying activities for this reporting period were: <u>Less than \$5,000</u> ☐ <u>\$5,000 or more</u> ☒ \$ <u>1,690,000.00</u> 14. REPORTING Check box to indicate expense accounting method. See instructions for description of options. ☒ Method A. Reporting amounts using LDA definitions only ☐ Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code ☐ Method C. Reporting amounts under section 162(e) of the Internal Revenue Code
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Signature Digitally Signed By: Christopher J Myers

Date 10/20/2025 1:25:41 PM

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code CPT

16. Specific lobbying issues

Discussions regarding automotive right to repair efforts (H.R.1566 - Right to Equitable and Professional Auto Industry Repair Act; entire bill)
Discussions regarding right to repair provisions in the FY2026 National Defense Authorization Act (NDAA) (S.2296, HR 3838)
Discussions regarding several patent bills, including: Patent Eligibility Restoration Act (PERA; S.1546 and H.R. 3152) and Promoting and Respecting Economically Vital American Innovation Leadership Act (PREVAIL; S. 1553; HR 3160) – entire bills

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES
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18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Katie	Hays			☐
Christopher	Myers			☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code EDU

16. Specific lobbying issues

Discussions regarding workforce challenges in the manufacturing and construction industries (H.R. 1151 – Freedom to Invest in Tomorrow’s Workforce Act) (entire bill)
General discussions regarding workforce challenges in the manufacturing and construction industries related to Pell Grants (no specific bill number)

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Nathan	Smith			☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code ENG

16. Specific lobbying issues

General discussions around Permitting Reform (no bill number)

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Katie	Hays			☐
Margaret	Caravelli			☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code LAW

16. Specific lobbying issues

Discussions regarding ongoing industry issue of product theft from shipping containers on railroads. (S. 1404, H.R. 2853 – Combatting Organized Retail Crime Act) (entire bill)

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Nathan	Smith			☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code RRR

16. Specific lobbying issues

General discussions regarding railroad infrastructure, safety and efficiency (no specific bill number)

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Nathan	Smith			☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TAX

16. Specific lobbying issues

Discussions regarding the implementation of tax credits related to combined heat and power systems and waste heat recovery, including qualifying combined heat and power systems under Section 45Y and 48E tax credits (Section 70512(e); PL 119-21).

General discussions related to corporate and international tax issues and OECD negotiations on the taxation of global income including IRC 11, 951A, 250 on the taxation of domestic and global income. (PL 119-21, Sections 70321, 70322, 70323, 70331)

Issues related to bonus depreciation (PL 119-21, Section 70301)

Issues related to IRC 174 and full expensing of R&E expenditures (HR 1990 – American Innovation and R&D Competitiveness Act of 2025 (entire bill) and PL 119-21, Section 70302)

Issues related to the deductibility of state and local taxes and IRC 164(a) (no bill number)

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Christopher	Myers			☐
Katie	Hays			☐
Margaret	Caravelli			☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TRA

16. Specific lobbying issues

Discussions re: implementation of the infrastructure investment and Jobs Act (issues related to surface transportation funding and infrastructure investments; PL: 117-58)

General discussions about Highway Trust Fund and surface transportation reauthorization (no specific bill).

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Nathan	Smith			☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TRD

16. Specific lobbying issues

General discussions on proposed ocean vessel fees (no bill number)

General discussions related to U.S. trade policy, tariff proposals, market access issues and digital trade (no bill number).

General discussions related to Commerce Department pending 232 investigations (No bill number)

General discussions related to pending USTR trade actions (No bill number)

General discussions related to USMCA FTA and mandatory review. (No bill number)

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Commerce - Dept of (DOC), U.S. Trade Representative (USTR)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Katie	Hays			☐
Christine	Gomes			☐
Margaret	Caravelli			☐
Nathan	Smith			☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TRU

16. Specific lobbying issues

General discussions and briefings on ocean shipping, port operations and logistical environment (no bill number)

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Nathan	Smith			☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

Address _____
 City _____ State _____ Zip Code _____ Country _____

21. Client new principal place of business (if different than line 20)

City _____ State _____ Zip Code _____ Country _____

22. New General description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

	First Name	Last Name	Suffix		First Name	Last Name	Suffix
1				3			
2				4			

ISSUE UPDATE

24. General lobbying issue that no longer pertains

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Internet Address:

Name	Address				Principal Place of Business (city and state or country)	
	Street Address	City	State/Province	Zip	Country	City State Country

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

1

2

3

FOREIGN ENTITIES

27. Add the following foreign entities:

Name	Address			Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client
	Street Address					
	City	State/Province	Country			
				City State Country		%

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

1

3

5

2

4

6

CONVICTIONS DISCLOSURE

29. Have any of the lobbyists listed on this report been convicted in a Federal or State Court of an offense involving bribery, extortion, embezzlement, an illegal kickback, tax evasion, fraud, a conflict of interest, making a false statement, perjury, or money laundering?

☒ No ☐ Yes

Lobbyist Name	Description of Offense(s)
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