

Clerk of the House of Representatives
Legislative Resource Center
135 Cannon Building
Washington, DC 20515
<http://lobbyingdisclosure.house.gov>

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510
<http://www.senate.gov/lobby>

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

| | | | |
|---|--|--|--|
| 1. Registrant Name <input checked="" type="checkbox"/> Organization/Lobbying Firm <input type="checkbox"/> Self Employed Individual Caterpillar Inc. | | | |
| 2. Address Address1 <u>1275 Pennsylvania Ave. NW</u> Address2 <u>Suite 1000</u> City <u>Washington</u> State <u>DC</u> Zip Code <u>20004</u> Country <u>USA</u> | | | |
| 3. Principal place of business (if different than line 2) City <u>Irving</u> State <u>TX</u> Zip Code <u>75039</u> Country <u>USA</u> | | | |
| 4a. Contact Name Mr. <u>Christopher J. Myers</u> | | b. Telephone Number <u>2024660671</u> c. E-mail <u>Myers_Chris@cat.com</u> | |
| | | 5. Senate ID# <u>8475-12</u> | |
| 7. Client Name <input checked="" type="checkbox"/> Self <input type="checkbox"/> Check if client is a state or local government or instrumentality Caterpillar Inc. | | 6. House ID# <u>313190000</u> | |

TYPE OF REPORT

8. Year 2025 Q1 (1/1 - 3/31) ☐ Q2 (4/1 - 6/30) ☒ Q3 (7/1 - 9/30) ☐ Q4 (10/1 - 12/31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ Termination Date _____ 11. No Lobbying Issue Activity ☐

INCOME OR EXPENSES - YOU MUST complete either Line 12 or Line 13

| | |
|---|---|
| <p align="center">12. Lobbying</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p><u>Less than \$5,000</u> <input type="checkbox"/></p> <p><u>\$5,000 or more</u> <input type="checkbox"/> \$ _____</p> <p>Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income for the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p> | <p align="center">13. Organizations</p> <p>EXPENSE relating to lobbying activities for this reporting period were:</p> <p><u>Less than \$5,000</u> <input type="checkbox"/></p> <p><u>\$5,000 or more</u> <input checked="" type="checkbox"/> \$ <u>1,237,000.00</u></p> <p>14. REPORTING Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p> |
|---|---|

Signature Digitally Signed By: Christopher J Myers

Date 7/21/2025
6:46:09 PM

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TRA

16. Specific lobbying issues

Discussions re: implementation of the Infrastructure Investment and Jobs Act (issues related to surface transportation funding and infrastructure investments; PL: 117-58)

General discussions about Highway Trust Fund and surface transportation reauthorization (no specific bill).

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|------------|-----------|--------|---|--------------------------|
| Nathan | Smith | | | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TRU

16. Specific lobbying issues

General discussions and briefings on ocean shipping, port operations and logistical environment (no bill number)

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|------------|-----------|--------|---|--------------------------|
| Nathan | Smith | | | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code EDU

16. Specific lobbying issues

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|---|
| Discussions regarding workforce challenges in the manufacturing and construction industries (H.R. 1151 – Freedom to Invest in Tomorrow’s Workforce Act) (entire bill) |
| General discussions regarding workforce challenges in the manufacturing and construction industries related to Pell Grants (no specific bill number) |

17. House(s) of Congress and Federal agencies ☐ Check if None

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|-------------------------------|
| U.S. HOUSE OF REPRESENTATIVES |
|-------------------------------|

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|------------|-----------|--------|---|--------------------------|
| Nathan | Smith | | | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code LAW

16. Specific lobbying issues

General discussions regarding ongoing industry issue of product theft from shipping containers on railroads. (no specific bill)

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|------------|-----------|--------|---|--------------------------|
| Nathan | Smith | | | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TRD

16. Specific lobbying issues

General discussions related to U.S. trade policy, tariff proposals, market access issues and digital trade (no bill number).

General discussions related to Commerce Department pending 232 investigations (No bill number)

General discussions related to USMCA FTA and mandatory review. (No bill number)

General discussions related to pending USTR trade actions (No bill number)

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Commerce - Dept of (DOC), U.S. Trade Representative (USTR)

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|------------|-----------|--------|---|-------------------------------------|
| Margaret | Caravelli | | | <input type="checkbox"/> |
| Katie | Hays | | | <input type="checkbox"/> |
| Christine | Gomes | | | <input checked="" type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TAX

16. Specific lobbying issues

Discussions regarding extension of the Investment Tax Credit for combined heat and power system property (Sec 48 ITC) and inclusion of tax credits related to combined heat and power systems and waste heat recovery, including qualifying combined heat and power systems under the section 45Y and 48E tax credits. (Section 13001; P.L. 117-169).

General discussions related to corporate and international tax issues and OECD negotiations on the taxation of global income including IRC 11, 951A, 250 on the taxation of domestic and global income. (HR 1, to Provide for Reconciliation Pursuant to Title II of HConRes 14, Sections 70321, 70322, 70323, 70331)

General discussions around energy credits (no bill number)

Issues related to bonus depreciation (HR 1, to Provide for Reconciliation Pursuant to Title II of HConRes 14, Section 70301)

Issues related to IRC 174 and full expensing of R&E expenditures (HR 1990 – American Innovation and R&D Competitiveness Act of 2025 (entire bill) and HR 1, to Provide for Reconciliation Pursuant to Title II of HConRes 14, Section 70302)

Issues related to the deductibility of state and local taxes and IRC 164(a) (no bill number)

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|-------------|-----------|--------|---|--------------------------|
| Katie | Hays | | | <input type="checkbox"/> |
| Margaret | Caravelli | | | <input type="checkbox"/> |
| Christopher | Myers | | | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code ENG

16. Specific lobbying issues

General discussions around permitting reform (no bill number).

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|------------|-----------|--------|---|--------------------------|
| Katie | Hays | | | <input type="checkbox"/> |
| Margaret | Caravelli | | | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code CPT

16. Specific lobbying issues

Discussions regarding automotive right to repair efforts (H.R.1566 - Right to Equitable and Professional Auto Industry Repair Act; entire bill)

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|------------|-----------|--------|---|--------------------------|
| Katie | Hays | | | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

Address 1275 Pennsylvania Ave. NW, Suite 1000

City Washington State DC Zip Code 20004 Country USA

21. Client new principal place of business (if different than line 20)

City State Zip Code Country

22. New General description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

| First Name | Last Name | Suffix | First Name | Last Name | Suffix |
|------------|-----------|--------|------------|-----------|--------|
| 1 | | | 3 | | |
| 2 | | | 4 | | |

ISSUE UPDATE

24. General lobbying issue that no longer pertains

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Internet Address:

| Name | Address | | | | Principal Place of Business (city and state or country) |
|------|----------------|----------------|---------|---------|--|
| | Street Address | | | | |
| | City | State/Province | Zip | Country | |
| | City | State | Country | | |

| 1 | 2 | 3 |
|---|---|---|
| | | |

FOREIGN ENTITIES

| Name | Address | | | Principal place of business (city and state or country) | Amount of contribution for lobbying activities | Ownership percentage in client |
|------|----------------|----------------|---------|--|--|--------------------------------------|
| | Street Address | | | | | |
| | City | State/Province | Country | | | |
| | | | | City State Country | | % |

| | | |
|---|---|---|
| 1 | 3 | 5 |
| 2 | 4 | 6 |

CONVICTIONS DISCLOSURE

☒ No ☐ Yes

| Lobbyist Name | Description of Offense(s) |
|---------------|---------------------------|
|---------------|---------------------------|