	ENGINEERING TEST INSTRUCTIONS	NUMBER 1961
ISSUED BY K. Armstrong	Progress Rail <i>A Caterpillar Company</i> LA GRANGE, ILLINOIS	FIRST ISSUED 8/15/2024
APPROVED BY G. Hoenert		SUPERSEDES
SUBJECT	EPA Power Products: Marine, Power Gen, Non-Rail Emissions Registration	
AFFECTS MODELS	All Power Products Compliant or to be Made Compliant with 40 CFR Part 1042	
PART NOS AFFECTED	Various	
REF DRAWINGS		

EMISSIONS LABELS NOT SHIPPED WITH KIT READ LABEL REGISTRATION SECTION FOR MORE INFORMATION

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1.0 Introduction

1.1. Labels: This document outlines the steps for an installer of an Emissions upgraded Kit to an engine for an overhaul to apply for proper Emissions labels. By following EPA 40 CFR 1042.135 labeling requirements, this will put the Engine into its EPA Emissions Certified Configuration. Correct Emissions labels will need to be applied to the engine to comply with EPA rules and regulations. If you are the Installer that is putting the emissions kit on and overhauling the engine, refer to the ETI in the KIT and see section 2.

1.2 Registration per this ETI and application of labels must be completed prior to vessel going back into service.

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2.0 Label Registration

- 2.1. The following directions will show the engine overhaul installer how to obtain Emissions labels via the online portal during the engine overhaul process. The overhaul installer of the engine is required to register the engine to obtain proper EPA Emissions Labels.
- 2.2. Label registrations should be placed a minimum of 10 business days prior to vessel /engine release date, but not greater than 30 days. The engine emission labels should be applied prior to release of unit to comply with EPA 40 CFR 1042. Any overnight order requests should have an accompanying UPS or DHL account populated for delivery. Failure to adhere to the above timeline guidance can/will result in a delay of emission label shipment.
- 2.2.1. An emissions kit upgraded engine shipped from a Progress Rail facility will contain an emissions related packet. The packet contents will consist of the following: 1) ETI 1961, 2) Relevant emission kit ETI.
- 2.2.2. If you are receiving an Emissions Upgrade Kit from Progress Rail and you are the kit installer on the overhauled engine, it is your responsibility to complete the EPA Engine Label Registration.

2.3. Registration Instructions

- 2.3.1. Go to the Emission Compliance site on the Progress Rail website by clicking the link or scanning the QR code.



<https://www.progressrail.com/en/Segments/Engines/EmissionsCompliance.html>

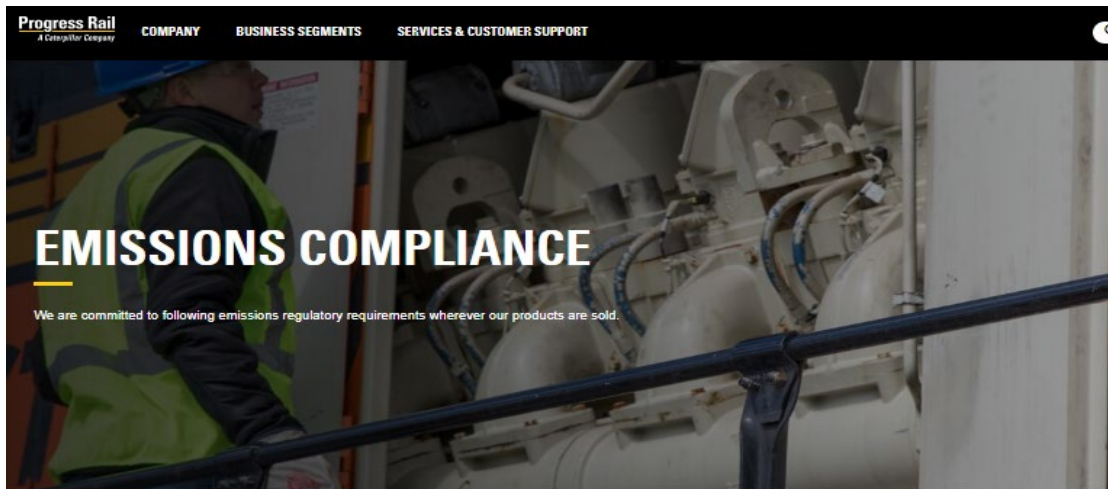
**Failure to complete the emissions kit registration may result in delay of engine labels. Please send any questions regarding emissions kit registration to:
Emissions_Compliance@progressrail.com.

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2.3.2. Once at the site, please click on the Power Products image.



EMISSIONS COMPLIANCE

CHOOSE THE APPROPRIATE SIDE OF THE BUSINESS



LOCOMOTIVE



POWER PRODUCTS

2.3.3. Then select Emissions Label Request and this will take you to the Request portal. You will need to create a username and password if not done previously. (Please remember your credentials for future entries).

[Factory Label Request](#)
 [Emissions Label Request](#)
 [Label Reprint Request](#)
 [FAQs](#)
 [POWER PRODUCTS INSTRUCTIONS](#)

POWER PRODUCTS EMISSIONS LABEL REQUEST

This form is to be filled out after an Emissions Overhaul Kit has been installed. The submittal of this form is mandatory before a label for a Marine/Power Product engine can be request.

- After submitting a request, you will receive an email with updates on the status.
- Users should ensure that mail handling rules are set up to allow for email to come from jira@ercrequests.pilotprogram.attassian.net into their inbox.
- Please allow 5 to 7 business days for shipping of labels. Request can be made up to 30 days in advance of kit installation. See [FAQs Q1](#) for more information or click Power Products Instructions in the menu.
- If you have any questions or concerns, please do not hesitate to contact us at emissions_compliance@progressrail.com.

[EMISSIONS LABEL](#)

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2.3.4. Within the form, complete all required fields as shown & described below.

Power Products: Marine, Power Gen, Non-Rail

What can we help you with?



Power Products Emissions Label Request

This form is to be filled out after an Emissions Overhaul Kit has been installed. The submittal of this form is mandatory before a label for a...

This form is to be filled out after an Emissions Overhaul kit has been installed. The submittal of this form is mandatory before a label can be requested. After submitting the form, you will receive an email with updates on the status.

Required fields are marked with an asterisk*

Contact Name*

Who the label is shipping to.

Distributor*

End Customer Name*

Is this for a U.S. Flagged Vessel?*

Only required for Marine applications.

Vessel Name or Site*

Address*

Address 2

Address 3

City*

State / Province*

Zip / Postal Code*

Country*

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ETI 1961
EPA Power Products Emissions Registration

Phone Number *

Email Address *

Certification Type: *

Emissions Kit Installation Date *

Emissions Kit Part Number *

Exempt engines - verify with PRL Contact if kit was installed and what part number.

Emissions Family *

Exempt engines - enter "Exempt" here.

Engine Serial Number *

Shipping Provider *

Account number for UPS or DHL *

Zip / Postal Code associated with UPS account *

Additional Comments

Attachment *

Drag and drop files, paste screenshots, or browse

Attach images of the engine emissions label and serial plate here.

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2.3.5. Form Fields (fields with * are required fields)

- **Contact Name***: Person who the labels will be sent attention to.
- **Distributor***: Engine installer.
- **End Customer Name***: Owner of the Vessel
- **IsThis For a U.S. Flagged Vessel***: Select Yes/No (only required for Marine).
- **Vessel Name or Site***: Used for looking up details in database.
- **Address (City, State, Zip, Country)***: Address where EPA Engine labels will be sent.
- **Contact Phone Number***: Number to contact if questions.
- **Contact Email Address***: Email to contact if questions.
- **Certification Type***: Select from the drop-down list the type of engine certification
- **Emissions Kit/Engine Installation Date***: Day/Month/Year format (DD/MM/YYYY), the first day of the month is acceptable.
- **Emission Kit Part Number***: 1) Located on kit packaging label or 2) In the ETI of the kit.
- **Emissions Family***: New emissions family after kit installation or freshly manufactured engine.
- **Engine Serial Number***: Can be found on the engine or any inbound paper work.
- **Shipping Provider***: Type of Shipping needed. USPS, no tracking available.
- **Account Number for UPS or DHL***: required for overnight delivery requests.
- **Zip / Postal Code Associated with UPS Account***: This needs to be the same Zip that the account number is under.
- **Additional Comments**: if FED Ex shipping is required, add the FED Ex account number here. As well as anything else that will be needed to help with the request.
- **Attachments**: Attach images such as; The existing engine emissions label, as well as engine build plates, and possibly a pre-paid Fed-Ex label image if customer wants to use as shipper.

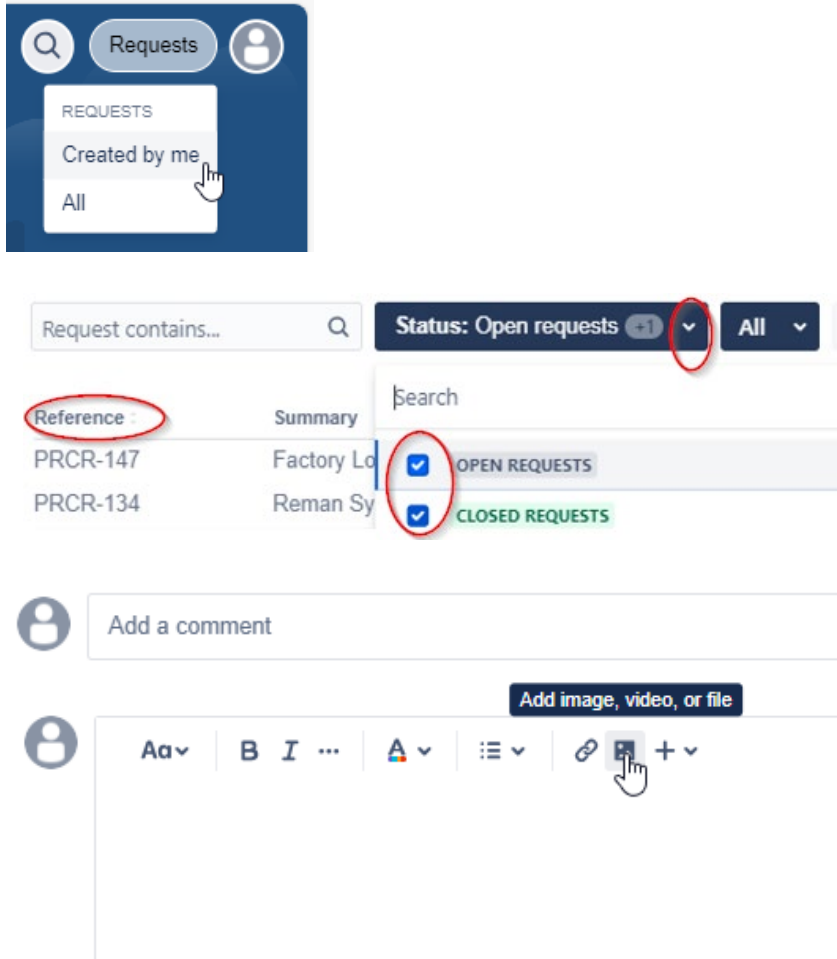
2.3.6. Once the form is submitted, it will be reviewed by a member of the Emissions Compliance Team for any missing or possible invalid information. If more details are needed, someone from the Emissions Compliance Team will add a comment to the request, the person who submitted the request will receive an email, then should respond with the information needed in the portal.

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2.3.7. Once the registration is submitted any update to the request can only be added via comments. In the upper right corner, select “Requests”, “Created by me”, select the Status down arrow and check Open Requests and Closed Requests. Select the specific request Reference number to edit, then add a comment or attachment (click in the comment box to see menu options).



2.3.8. Once approved or errors fixed, the Emissions labels will be sent out to the contact and address provided in the Request Portal. **Note:** Engine labels will be serialized and must be applied to the correct engine



2.3.9. Labels will be processed in 1 to 2 business days after the submission of the registration. Standard shipment for labels is via USPS which may take 5 to 7 business days. Expedited shipping options can be chosen for overnight or 2-day shipment, customer to provided account number.

2.3.10. Any questions or concerns should be directed to your Progress Rail representative.

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2.3.11. Sample Engine Label – Contains engine serial number and “Engine Emissions Control Information” in the title. Values updated per request:

EMISSION CONTROL INFORMATION	
	
YY-MX-XXXX	
<hr/> Displacement/CYL: X.XX L Engine Family: XEMDNXXXABC Date Of Manufacture: MM /YYYY Min Useful Life XX Years or XXXXXHours Exhaust Emission Control System: XX,XXX,XX,XXXX	
<hr/> THIS CATEGORY X MARINE ENGINE COMPLIES WITH U.S. EPA REGULATIONS FOR YYYY FOR USE IN GENERAL AND STATIONARY APPLICATIONS AS DEFINED IN: XXXXXXXXXXXXXXXXXXXX AT THESE TIER 4 EMISSION LEVELS: X.XXg/kW-hr PM, X.Xg/kW-hr NOx X.XXg/kW-hr NMHC, X.Xg/kW-hr CO	
ULTRA LOW SULFUR DIESEL FUEL ONLY THIS ENGINE IS CERTIFIED FOR OPERATION ONLY WITH DIESEL FUEL. MODIFYING THE ENGINE TO OPERATE ON RESIDUAL OR INTERMEDIATE FUEL MAY BE A VIOLATION OF FEDERAL LAW SUBJECT TO CIVIL PENALTIES. THIS ENGINE DOES NOT COMPLY WITH INTERNATIONAL MARINE REGULATIONS FOR COMMERCIAL VESSELS UNLESS IT IS ALSO COVERED BY AN EIAPP CERTIFICATE.	
DELEGATED ASSEMBLY Progress Rail Locomotive Inc.	 *376955*
	FMT 3769 PRL Part: 40466584

2.3.12. Engine label placement:

§ 1068.45 General labeling provisions.

The provisions of this part and the standard-setting part include a variety of labeling requirements. The following general provisions apply:

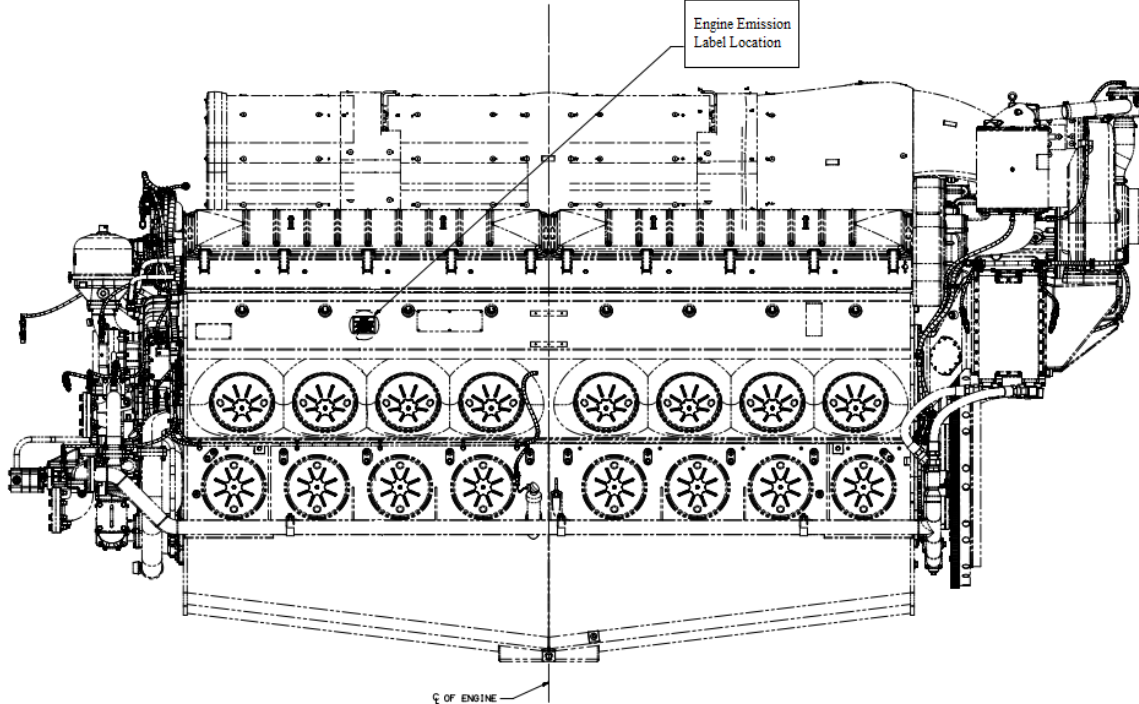
- (a) **Permanent labels.** Where we specify that you apply a permanent label, you must meet the following requirements unless the standard-setting part includes other specific label requirements:
 - (1) Attach the label so no one can remove it without destroying or defacing it.
 - (2) Make sure it is durable and readable for the engine/equipment's entire life.
 - (3) Secure it to a part of the engine/equipment needed for normal operation and not normally requiring replacement.
 - (4) Write it in English.
 - (5) Make the labels readily visible to the average person after all installation and assembly are complete.

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2.3.13. Place the engine label near the engine nameplate on the right bank side of the engine.



3.0 Reprint Request

3.1 If a label has been lost or damaged, a reprint label may be requested by selecting the Label Reprint Request tab at the top of the screen or scrolling down to the Reprint button below. The same form and field as shown in 2.3.4 and 2.3.5 will be required to receive the new label.

Factory Label Request

Emissions Label Request

Label Reprint Request

FAQs

POWER PRODUCTS INSTRUCTIONS

POWER PRODUCTS REPRINT LABEL REQUEST

This form is to be filled out if an emissions label is missing from an engine and is needing a replacement.

- After submitting a request, you will receive an email with updates on the status.
- Users should ensure that mail handling rules are set up to allow for email to come from jira@ercrequestspilotprogram.atlassian.net into their inbox.
- Please allow 5 to 7 business days for shipping of labels. Request can be made up to 30 days in advance of kit installation. See [FAQs Q1](#) for more information or click Power Products Instructions in the menu.
- If you have any questions or concerns, please do not hesitate to contact us at emissions_compliance@progressrail.com.

REPRINT

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4.0 References

1. PART 1042—Control of Emissions from New and In-Use Marine Compression-Ignition Engines and Vessels.
 - a. [eCFR: 40 CFR Part 1042 -- Control of Emissions from New and In-Use Marine Compression-Ignition Engines and Vessels](#)
2. Part 1042.135 Labeling
 - a. <https://www.ecfr.gov/current/title-40/section-1042.135>
3. Progress Rail – Emissions Compliance Webpage
 - a. <https://www.progressrail.com/en/Segments/Engines/EmissionsCompliance.html>

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