Caterpillar Prescription Drug Benefit Phone: 877-228-7909 Fax: 800-424-7640

MEMBER'S LAST NAME:		MEMBER'S FIRST NAME:		
important for the review	ut all applicable sections comple (e.g., chart notes or lab data, to alth Information under HIPAA.			
			URGENT	
MEMBER INFORMATION	N			
LAST NAME:		FIRST NAME:		
PHONE NUMBER:		DATE OF BIRTH:	DATE OF BIRTH:	
STREET ADDRESS:				
CITY:		STATE: ZIP COL	STATE: ZIP CODE:	
PATIENT INSURANCE ID	NUMBER:			
	HEIGHT (IN/CM): WE			
	REPRESENTATIVE (IF APPLICAB TATIVE'S PHONE NUMBER:			
PRESCRIBER INFORMAT	ION			
LAST NAME:		FIRST NAME:	FIRST NAME:	
PRESCRIBER SPECIALTY:	:	EMAIL ADDRESS:	EMAIL ADDRESS:	
NPI NUMBER:		DEA NUMBER:	DEA NUMBER:	
PHONE NUMBER:		FAX NUMBER:		
STREET ADDRESS:				
CITY:		STATE: ZIP COL	DE:	
REQUESTOR (if different than prescriber):		OFFICE CONTACT PERSON	N:	
MEDICATION OR MEDI	CAL DISPENSING INFORMATIO	V		
MEDICATION NAME:				
DOSE/STRENGTH:	FREQUENCY:	LENGTH OF THERAPY/REFILLS:	QUANTITY:	
NEW THERAPY	RENEWAL (SPECIFIC DATES):	IF RENEWAL: DATE THERA	APY INITIATED:	

Continued on next page



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MEMBER'S LAST NAME:	IBER'S LAST NAME: MEMBER'S FIRST NAME:		
1. HAS THE PATIENT TRIED ANY OTHE	R MEDICATIONS FOR THIS CONDITION?	YES (if yes, complete below) NO	
MEDICATION/THERAPY (SPECIFY DRUG NAME AND DOSAGE):	DURATION OF THERAPY (SPECIFY DATES):	RESPONSE/REASON FOR FAILURE/ALLERGY:	
2. LIST DIAGNOSES:		ICD-10:	
 □ Chronic lymphocytic leukemia (CLL) □ Small lymphocytic leukemia (SLL) □ Acute myeloid leukemia (AML) □ Myelodysplastic syndrome(MDS) 			
□ Other diagnosis:ICD	9-10		
3. REQUIRED CLINICAL INFORMATION PRIOR AUTHORIZATION.	N: PLEASE PROVIDE ALL RELEVANT CLINIC	CAL INFORMATION TO SUPPORT A	
Clinical Information:			
Renewal Request:			
•	ve clinical response? ☐ Yes ☐ No Please	submit documentation.	
	•		
For the diagnosis of CLL or SLL, please	answer the following:		
	relapsed/refractory chronic lymphocytic	c laukamia (CLI) with Dal (17n)?*	
	relapsed/remactory chronic lymphocyth	reukeiilia (CLL) with Dei (17p):	
□ Yes □ No			
*Chart documentation of CLL with 17	p deletion must be provided.		
Has the patient received at least one	prior therapy for the treatment of CLL?	* □ Yes □ No	
*Chart documentation of prior therap	y must be submitted for review.		
Will Venclexta(venetoclax) be used a	s monotherapy? □ Yes □ No		
Will the patient be using rituximab in ☐ Yes ☐ No	conjunction with Venclexta(venetoclax	r) for the treatment of CLL or SLL?*	
Has the patient received NO prior tre	eatments for their CLL or SLL? Yes	No	
Will the patient be using Venclexta in	conjunction with Gazyva(obinutuzuma	ıb)? □ Yes □ No	
For the diagnosis of AML and treatme	ent with Venclexta AND decitabine , ple	ase answer the following:	
Is patient newly diagnosed acute mye	eloid leukemia(AML)? 🗆 Yes 🗆 No 🛮 Ple	ase submit histology report.	
Is the patient eligible for standard inc	duction chemotherapy?* 🗆 Yes 🗆 No 🛚	Please submit documentation.	
Will the patient use Venclexta in conj	unction with decitabine?* Yes No)	
	ent with Venclexta(venetoclax) AND aza	citidine or low dose cytarabine, please	
answer the following:			
Has the patient received prior treatm	ent for AML? Yes No		



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MEMBER'S LAST NAME:	MEMBER'S FIRST NAME:
Will Venclexta be used in combination with azacitidir Will Venclexta be used in combination with low dose	
Does patient's AML have a favorable risk cytogenetic t[15:17])? □ Yes □ No Please submit lab report.	es, per NCCN Guidelines (such as t[8;21], inv[16], t[16:16] or
Does patient have active CNS involvement? ☐ Yes ☐ Does patient have promyelocytic leukemia? ☐ Yes ☐	
Has patient previously received ANY of the following (Dacogen®), azacitidine (Vidaza®), venetoclax (Vencle	treatments for myelodysplastic syndrome (MDS): decitabine exta®) or chemotherapy? Yes No
Has patient received prior CAR-T therapy? ☐ Yes ☐	No
 option(s) AND Please submit chart documents.: Unable to carry out any work activities Positive cardiac history for congestive heart failure Presence of chronic stable angina Ejection fraction NO GREATER THAN 50% Pulmonary function testing shows DLCO equals NO Pulmonary function testing shows FEV1 equals NO Creatinine clearance equals 30 – 44 mL/min Total bilirubin level equals 1.5 – 3 times upper limit 	O GREATER THAN 65% O GREATER THAN 65%
For the diagnosis of MDS AND treatment with Vencle following:	exta in combination with azacitidine, please answer the
Does the patient have newly diagnosed MDS? ☐ Yes	□ No
Has patient been previously treated for their MDS?	□ Yes □ No
Will Venclexta be used in combination with azacitidin	ne? □ Yes □ No
Does patient have an IPSS(International Prognostic Solution Please submit documentation.	coring System) risk score that equals at least 1.5? Yes No
Does patient have a Revised (IPSS-R) risk score that e documentation.	equals greater than 3? 🗆 Yes 🗆 No Please submit
Has patient's MDS evolved from another pre-existing	g myeloproliferative neoplasm(MPN)? □ Yes □ No
Does the patient have any of the following? Chronic myelomonocytic leukemia(CMML)	□ No Please check.



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MEMBER'S LAST NAME:	MEMBER'S FIRST NAME:
□ atypical chronic myeloid leukemia(CML)	
□ juvenile myelomonocytic leukemia(JMML)	
□ any other unclassifiable MDS/MPN	
any other unclussmusic miss, mix	
Has the patient received a hematopoietic stem ce	ll transplantation(HSCT)? □ Yes □ No
Has patient had a solid organ transplant? ☐ Yes ☐] No
Are there any other comments, diagnoses, symptophysician feels is important to this review?	oms, medications tried or failed, and/or any other information the
Please note: Not all drugs/diagnosis are sovered o	n all plans. This request may be denied unless all required
information is received.	in all plans. This request may be defiled unless all required
ATTESTATION: I attest the information provided is	s true and accurate to the best of my knowledge. I understand that
I	gnees may perform a routine audit and request the medical
information necessary to verify the accuracy of the	• • • • • • • • • • • • • • • • • • • •
intermediation necessary to vermy the decardey or the	The state of the s
Prescriber Signature or Electronic I.D. Verification	: Date:
CONFIDENTIALITY NOTICE: The documents accompanying this	s transmission contain confidential health information that is legally privileged. If
, , -	at any disclosure, copying, distribution, or action taken in reliance on the contents
	this information in error, please notify the sender immediately (via return FAX)

FAX THIS FORM TO: 800-424-7640

 $\textbf{MAIL REQUESTS TO:} \ Prime \ The rapeutics \ Management \ Prior \ Authorization \ Program$

Attn: CP – 4201 P.O. Box 64811 St. Paul, MN 55164-0811



and arrange for the return or destruction of these documents.