

**Kerendia (finerenone)**  
**Prior Authorization Request Form**

Caterpillar Prescription Drug Benefit  
Phone: 877-228-7909 Fax: 800-424-7640

**MEMBER'S LAST NAME:** \_\_\_\_\_ **MEMBER'S FIRST NAME:** \_\_\_\_\_

**Instructions:** Please fill out all applicable sections completely and legibly. Attach any additional documentation that is important for the review (e.g., chart notes or lab data, to support the authorization request). Information contained in this form is Protected Health Information under HIPAA.

☐ **URGENT**

MEMBER INFORMATION		
<b>LAST NAME:</b>		<b>FIRST NAME:</b>
<b>PHONE NUMBER:</b>		<b>DATE OF BIRTH:</b>
<b>STREET ADDRESS:</b>		
<b>CITY:</b>	<b>STATE:</b>	<b>ZIP CODE:</b>
<b>PATIENT INSURANCE ID NUMBER:</b>		

☐ **MALE** ☐ **FEMALE** **HEIGHT (IN/CM):** \_\_\_\_\_ **WEIGHT (LB/KG):** \_\_\_\_\_ **ALLERGIES:** \_\_\_\_\_

IF YOU ARE NOT THE PATIENT OR THE PRESCRIBER, YOU WILL NEED TO SUBMIT A PHI DISCLOSURE AUTHORIZATION FORM WITH THIS REQUEST WHICH CAN BE FOUND AT THE FOLLOWING LINK: [PRIMETHERAPEUTICS.COM/NOPP](https://primetherapeutics.com/nopp)

**PATIENT'S AUTHORIZED REPRESENTATIVE (IF APPLICABLE):** \_\_\_\_\_  
**AUTHORIZED REPRESENTATIVE'S PHONE NUMBER:** \_\_\_\_\_

PRESCRIBER INFORMATION	
<b>LAST NAME:</b>	<b>FIRST NAME:</b>
<b>PRESCRIBER SPECIALTY:</b>	<b>EMAIL ADDRESS:</b>
<b>NPI NUMBER:</b>	<b>DEA NUMBER:</b>
<b>PHONE NUMBER:</b>	<b>FAX NUMBER:</b>
<b>STREET ADDRESS:</b>	
<b>CITY:</b>	<b>STATE:</b> <b>ZIP CODE:</b>
<b>REQUESTOR</b> (if different than prescriber):	<b>OFFICE CONTACT PERSON:</b>

MEDICATION OR MEDICAL DISPENSING INFORMATION			
<b>MEDICATION NAME:</b>			
<b>DOSE/STRENGTH:</b>	<b>FREQUENCY:</b>	<b>LENGTH OF THERAPY/REFILLS:</b>	<b>QUANTITY:</b>
<input type="checkbox"/> <b>NEW THERAPY</b>		<input type="checkbox"/> <b>RENEWAL</b>	<b>IF RENEWAL: DATE THERAPY INITIATED:</b>
<b>DURATION OF THERAPY (SPECIFIC DATES):</b>			

*Continued on next page.*

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<b>1. HAS THE PATIENT TRIED ANY OTHER MEDICATIONS FOR THIS CONDITION?</b> <input type="checkbox"/> YES (if yes, complete below) <input type="checkbox"/> NO		
<b>MEDICATION/THERAPY (SPECIFY DRUG NAME AND DOSAGE):</b>  	<b>DURATION OF THERAPY (SPECIFY DATES):</b>  	<b>RESPONSE/REASON FOR FAILURE/ALLERGY:</b>  
<b>2. LIST DIAGNOSES:</b>		<b>ICD-10:</b>
<input type="checkbox"/> Heart Failure  <input type="checkbox"/> Other diagnosis: _____ ICD-10 _____		
<b>3. REQUIRED CLINICAL INFORMATION: PLEASE PROVIDE ALL RELEVANT CLINICAL INFORMATION TO SUPPORT A PRIOR AUTHORIZATION.</b>		
<p><b>Clinical Information:</b></p> <p>Is the drug going to be used in conjunction with a clinical trial? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Does patient have a diagnosis of chronic kidney disease(CKD) ? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Does patient have Type II diabetes? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Does patient have persistent high albuminuria(UACR <math>\geq 30</math> to <math>&lt; 300\text{mg/g}</math>) ? <input type="checkbox"/> Yes <input type="checkbox"/> No <i>Please submit chart documentation.</i></p> <p>Does patient have an estimated glomerular filtration rate(eGFR) <math>\geq 25</math> but <math>&lt; 60\text{mL/min/1.73m}^2</math> (CKD EPI)? <input type="checkbox"/> Yes <input type="checkbox"/> No <i>Please submit chart documentation.</i></p> <p>Does patient have presence of diabetic retinopathy? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Does patient have persistent high albuminuria(UACR <math>\geq 300\text{mg/g}</math> ? <input type="checkbox"/> Yes <input type="checkbox"/> No <i>Please submit chart documentation.</i></p> <p>Does patient have an estimated glomerular filtration rate(eGFR) <math>\geq 25</math> but <math>&lt; 75\text{mL/min/1.73m}^2</math> (CKD EPI)? <input type="checkbox"/> Yes <input type="checkbox"/> No <i>Please submit chart documentation.</i></p> <p>Has patient been treated with the maximally tolerated dose of an ACE or an ARB at least 4 weeks or more prior to starting Kerendia(finerenone)? <input type="checkbox"/> Yes <input type="checkbox"/> No <i>Please submit chart documentation.</i></p> <p>Does patient have known non-diabetic renal disease? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Does patient have renal stenosis? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Does patient have a mean sitting systolic blood pressure(SBP) <math>\geq 160\text{mmHg}</math>? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Does patient have a mean sitting diastolic blood pressure(DBP) <math>\geq 100\text{mmHg}</math>? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Does patient have a mean SBP <math>&lt; 90\text{mmHg}</math>? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Does patient have a HbA1c <math>&gt; 12\%</math>? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Does patient have a clinical diagnosis of heart failure with reduced ejection fracture(EF) <math>\leq 40\%</math> ? <input type="checkbox"/> Yes <input type="checkbox"/> No <i>Please submit chart documentation.</i></p>		

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Does patient have NYHA class II-IV? ☐ Yes ☐ No

Has patient had a stroke, transient ischemic attack, acute coronary syndrome, or hospitalization for worsening heart failure in the last 30 days prior to starting treatment with Kerendia(finerenone)? ☐ Yes ☐ No

Does patient have a diagnosis of heart failure with left ventricular ejection fraction (LVEF)  $\geq 40\%$  within the last 12 months? ☐ Yes ☐ No

Has the patient been on diuretic treatment for at least 30 days prior to starting Kerendia(finerenone)? ☐ Yes ☐ No  
*Please submit chart documentation.*

Does patient have a structural heart abnormality(s) based on any local imaging measurement within the last 12 months, defined by at least one of the following findings? ☐ Yes ☐ No *Please submit chart documentation.*

☐ left atrial diameter (LAD)  $\geq 3.8$ cm

☐ left atrial area (LAA)  $\geq 20$ cm<sup>2</sup>

☐ left atrial volume index (LAVI)  $> 30$  mL/m<sup>2</sup>

☐ left ventricular mass index (LVMI)  $\geq 115$  g/m<sup>2</sup> (♂)/ 95 g/m<sup>2</sup> (♀)

☐ septal thickness or posterior wall thickness  $\geq 1.1$  cm

Does patient have an n-terminal prohormone B-type natriuretic peptide (NT-proBNP)  $\geq 300$  pg/mL or BNP  $\geq 100$  pg/mL in sinus rhythm? ☐ Yes ☐ No *Please submit chart documentation.*

Does patient have an ongoing diagnosis of paroxysmal atrial fibrillation or NT-proBNP  $\geq 900$  pg/mL or BNP  $\geq 300$  pg/mL in atrial fibrillation (or if atrial fibrillation status is unknown or if patient has an ongoing diagnosis of paroxysmal atrial fibrillation)? ☐ Yes ☐ No *Please submit chart documentation.*

Has patient been screened ineligible for probable alternative causes of patient's HF symptoms that accounts for patient's dyspnea such as significant pulmonary disease, anemia or obesity? ☐ Yes ☐ No *Please submit chart documentation.*

Does patient have severe pulmonary disease requiring home oxygen, chronic oral steroid therapy, history of primary pulmonary arterial hypertension, valvular heart disease considered to be clinically significant, and/or a Body Mass Index (BMI)  $> 50$  kg/m<sup>2</sup>? ☐ Yes ☐ No *Please submit chart documentation.*

Does patient have systolic blood pressure(SBP)  $\geq 160$  mmHg, if not on treatment with  $\geq 3$  blood pressure lowering medications or  $\geq 180$  mmHg irrespective of treatments? ☐ Yes ☐ No *Please submit chart documentation.*

Has patient been treated with spironolactone for at least 30 days? ☐ Yes ☐ No *Please submit chart documentation.*

Does patient have an absolute contraindication to spironolactone or had a significant intolerance to spironolactone that is causing patient to stop therapy? ☐ Yes ☐ No *Please submit chart documentation.*

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<b>If patient has an absolute contraindication to spironolactone or has had a significant intolerance to spironolactone that is causing patient to stop therapy, has patient been treated with eplerenone?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No <i>Please submit chart documentation.</i>	
<b>Does patient have an absolute contraindication to eplerenone or has had a significant intolerance to eplerenone that is causing patient to stop therapy?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No <i>Please submit chart documentation.</i>	
<b>Are there any other comments, diagnoses, symptoms, medications tried or failed, and/or any other information the physician feels is important to this review?</b>  _____  _____	
<b>*Please note:</b> Not all drugs/diagnoses are covered on all plans. This request may be denied unless all required information is received.	
<b>ATTESTATION:</b> I attest the information provided is true and accurate to the best of my knowledge. I understand that the Health Plan, insurer, Medical Group or its designees may perform a routine audit and request the medical information necessary to verify the accuracy of the information reported on this form.	
<b>Prescriber Signature or Electronic I.D. Verification:</b> _____ <b>Date:</b> _____	
<b>CONFIDENTIALITY NOTICE:</b> The documents accompanying this transmission contain confidential health information that is legally privileged. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution, or action taken in reliance on the contents of these documents is strictly prohibited. If you have received this information in error, please notify the sender immediately (via return FAX) and arrange for the return or destruction of these documents.	

**FAX THIS FORM TO: 800-424-7640**

**MAIL REQUESTS TO:** Prime Therapeutics Management Prior Authorization Program  
Attn: CP - 4201  
P.O. Box 64811  
St. Paul, MN 55164-0811