



Caterpillar Financial Services India Private Limited

6th Floor, Tower 'B', Prestige Shantiniketan,
The Business Precinct, Whitefield Main Road,
Bengaluru, Karnataka - 560 048, India

LIQUIDITY COVERAGE RATIO DISCLOSURE AS PER RBI NOTIFICATION DOR.NBFC (PD) CC. No.102/03.10.001/2019-20 AS AT 30-SEP-2021

- (i) Funding Concentration based on significant counterparty (both deposits and borrowings)

Sr. No.	Number of Significant Counterparties	Amount (₹ crores) *	% of Total deposits	% of Total Liabilities
1	02	479.57	NA	96.70%

- (ii) Top 20 large deposits (amount in ₹ crore and % of total deposits)
The Company is Non-deposit taking Company; hence it did not take any deposits.

- (iii) Top 10 borrowings (amount in ₹ crore and % of total borrowings)

Sr. No.	Amount (₹ crores) *	% of Total Borrowings
1	100.02	20.86%
2	100.02	20.86%
3	95.01	19.81%
4	95.01	19.81%
5	75.01	15.64%
6	14.00	2.92%
7	0.50	0.10%

- (iv) Funding Concentration based on significant instrument

Sr. No.	Name of the instrument/product	Amount (₹ crores) *	% of Total Liabilities
1	Bank - Working Capital Demand Loans	479.57	96.70%



Company Identification Number - U93095KA2018FTC144448

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(v) Stock Ratios:

Particulars	as a % of total public funds	as a % of total liabilities	as a % of total assets
(a) Commercial papers	NA	NA	NA
(b) Non-convertible debentures (original maturity of less than one year)	NA	NA	NA
(c) Other short-term liabilities, if any as a % of total public funds, total liabilities and total assets	61.51%	59.48%	37.05%

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No.102/03.10.001/2019-20 AS AT 30-SEP-2020 (contd.)**

(vi) Institutional set-up for liquidity risk management

Caterpillar Financial Services India Private Limited ("CFSI") ALCO monitors asset liability mismatches to ensure that there are no imbalance or excessive concentration on either side of the balance sheet.

CFSI has multiple credit facilities with different corporate banks so ensure continuous liquidity to manage the day to day activity of business.

ALCO of the Company consisting of Company's senior management and Directors in responsible for ensuring adherence to the risk tolerance/limits as well as implementing the liquidity risk management strategy of the Company.

For Caterpillar Financial Services Private Limited,



Sunil Kothakota
(Chief Financial Officer)



**figures and percentages are based on unaudited outstanding balances as at September 30, 2021.*

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