

At Caterpillar, sustainability is our commitment to building a better world. We are announcing new sustainability goals and are committed to further reducing our greenhouse gas emissions while helping our customers meet their climate-related objectives. Our history of sustainable innovation spans more than 95 years. We are supporting our customers during the energy transition to a lower-carbon future through investments in new products, technologies and services.

SUSTAINABILITY IN ACTION

“This year we are introducing seven new goals for 2030 focused on our customers’, business’ and stakeholders’ most relevant issues; five are centered on climate, and two focus on employee and customer safety.”

Jim Umpleby
Chairman and CEO

OUR NEW 2030 GOALS:

OPERATIONS ENERGY & EMISSIONS

We have set a science-based Scope 1 and 2 goal to reduce absolute greenhouse gas emissions from our operations by **30%** from 2018 to 2030.



PRODUCT EMISSIONS & ENERGY EFFICIENCY

100% of Caterpillar’s new products through 2030 will be more sustainable than the previous generation through collaborating with customers, reduced waste, improved design for rebuild/ remanufacturing, lower emissions or improved efficiency.



OPERATIONS WATER

Implement water management strategies at **100%** of facilities located in water high-risk areas by 2030.



REMANUFACTURING

Increase sales and revenues from remanufacturing offerings by **25%** from 2018 to 2030.



OPERATIONS WASTE

Reduce landfill intensity by **50%** from 2018 to 2030.



EMPLOYEE HEALTH & SAFETY

We aspire to prevent all injuries and will further our industry-leading safety results by reducing RIF by **50%** from 2018 to 2030.



CUSTOMER SAFETY

Provide leadership in the safety of people who work in, on and around our products.



SASB Topic



Climate- and Environmental-related Goal

2020 SUSTAINABILITY HIGHLIGHTS

For sustainability stories from our facilities and customers around the world, visit <https://reports.caterpillar.com>.



93%

Reduction in recordable injury frequency from 2003



**DELIVERED
OUR BEST
RECORDABLE
INJURY
FREQUENCY
SAFETY
PERFORMANCE
ON RECORD**



51%

Reduction in absolute greenhouse gas emissions from 2006



43%

Reduction in absolute water consumption from 2006

Supported our customers by increasing fleet hours managed by Job Site Solutions by

769%

since 2013



131M

Pounds of material taken back for remanufacturing



\$1.4B

Invested in research and development



\$37.9M

Invested in communities through the Caterpillar Foundation, including support for COVID-19 relief and social justice



21yrs

Included in the Dow Jones Sustainability Index



30%

Of our reported sales and revenue derived from products, services and solutions that demonstrate an improved sustainability benefit over prior offerings



Released our

1st

Diversity and Inclusion Report



14

Employee Resource Groups

Where applicable, data represents year-end 2020.