

CO= 5438521

U= 5438521

## EQUAL EMPLOYMENT OPPORTUNITY

2020 EMPLOYER INFORMATION REPORT EEO-1

## CONSOLIDATED REPORT

## SECTION B - COMPANY IDENTIFICATION

1. CATERPILLAR  
510 LAKE COOK DRIVE  
ESTABID 00182  
DEERFIELD, IL 60015

2.a. CATERPILLAR  
510 LAKE COOK DRIVE  
ESTABID 00182  
DEERFIELD, IL 60015

c. EIN= 370602744

## SECTION C - TEST FOR FILING REQUIREMENT

1- Y 2- N 3- Y DUNS= 005070479

## SECTION E - ESTABLISHMENT INFORMATION

NAICS: 551114 - Corporate, Subsidiary, and Regional  
Managing Offices

## SECTION D - EMPLOYMENT DATA

JOB CATEGORIES	HISPANIC OR LATINO		NOT-HISPANIC OR LATINO											OVERALL TOTALS	
	MALE	FEMALE	***** MALE *****						***** FEMALE *****						
			WHITE	BLACK OR AFRICAN AMERICAN	NATIVE HAWAIIAN OR PACIFIC ISLANDER	ASIAN	AMERICAN INDIAN OR ALASKAN NATIVE	TWO OR MORE RACES	WHITE	BLACK OR AFRICAN AMERICAN	NATIVE HAWAIIAN OR PACIFIC ISLANDER	ASIAN	AMERICAN INDIAN OR ALASKAN NATIVE		TWO OR MORE RACES
EXECUTIVE/SR OFFICIALS & MGRS	8	0	134	5	0	7	0	0	32	1	0	2	0	0	189
FIRST/MID OFFICIALS & MGRS	257	75	3983	161	1	264	9	19	1017	68	0	78	2	6	5940
PROFESSIONALS	558	222	7697	283	14	1189	14	43	2349	201	3	448	11	27	13059
TECHNICIANS	168	72	1125	94	0	56	8	17	651	101	0	25	1	4	2322
SALES WORKERS	4	1	45	0	0	4	0	0	8	0	0	0	0	0	62
ADMINISTRATIVE SUPPORT	54	57	320	51	0	16	0	4	413	74	0	7	0	5	1001
CRAFT WORKERS	502	25	1826	152	14	188	19	15	84	20	1	5	1	1	2853
OPERATIVES	1357	565	7967	1999	20	181	60	131	1902	1200	7	68	17	69	15543
LABORERS & HELPERS	15	0	28	7	0	2	0	2	0	0	0	0	0	0	54
SERVICE WORKERS	2	1	8	2	0	0	0	0	4	2	0	0	0	0	19
TOTAL	2925	1018	23133	2754	49	1907	110	231	6460	1667	11	633	32	112	41042
PREVIOUS REPORT TOTAL	3212	1095	24832	3175	51	1970	129	259	7036	1935	14	617	36	150	44511

## SECTION F - REMARKS

DATES OF PAYROLL PERIOD: 12/15/2020 THRU 12/31/2020

## SECTION G - CERTIFICATION

CERTIFYING OFFICIAL: Marisa Casey  
EMAIL: casey\_marisa\_n@cat.com

EEO1 REPORT CONTACT PERSON: Marisa Casey  
EMAIL: casey\_marisa\_n@cat.com

CERTIFIED DATE [EST]: 10/25/2021 10:41 AM

TITLE: Human Resources Rep  
PHONE: 309-675-0022

TITLE: Human Resources Rep  
PHONE: 309-675-0022