

2019

SUSTAINABILITY

HIGHLIGHTS

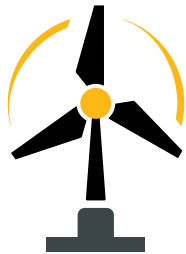
"Caterpillar imagines a better world – one that we are striving to achieve through our business. As our customers help meet the infrastructure, energy and resource needs of tomorrow, we are dedicated to helping create a future that offers a better life for all."

Jim Umpleby  
Chairman and CEO

## By-the-Numbers

19%

reduction in recordable injury frequency



32%

energy intensity reduction since 2006

37%

absolute water consumption reduction since 2006



20%

absolute greenhouse gas reduction



35%

electrical energy generated/obtained from renewable resources



27%

sales from products, services and solutions demonstrated an improved sustainability benefit over prior offerings

510%

increase in fleet hours managed by Job Site Solutions since 2013



91%

eligible end-of-life returns collected for remanufacturing



14

employee resource groups



20

years in Dow Jones Sustainability Index



153M

pounds of returned material collected by Cat® Reman

Invested

\$28.6M

in communities through the Caterpillar Foundation



# Our Vision

A world in which all people's basic needs – such as shelter, clean water, sanitation, food and reliable power – are fulfilled in a sustainable way and a company that improves the quality of the environment and the communities where we live and work.



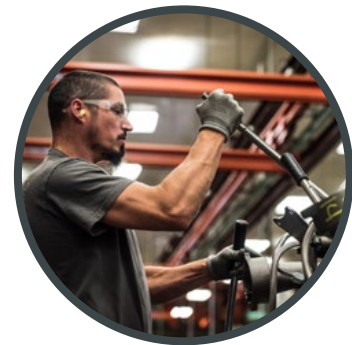
## Notable Achievements in Our Sustainability Journey

Continued working toward the completion of our 2020 goals, having already achieved several of them, including:

- Achieving a recordable injury frequency below **0.60**
- Reaching a lost-time case frequency rate of **0.15**
- Reducing greenhouse gas emissions intensity by more than **50%** from 2006
- Increasing managed fleet hours by more than **100%** from 2013
- Sourcing more than **20%** of our electricity needs with alternative and renewable sources



The Caterpillar team achieved our best safety performance on record in 2019, decreasing lost-time case frequency rate by **95%** and recordable injury frequency rate by **93%** from 2003.



Recognized by **Forbes** as one of America's Best Employers for Diversity, Best Employers for Women and Best Employers for New Grads.

Provided further transparency to investors by aligning our sustainability reporting for the first time with the **Sustainability Accounting Standards Board (SASB)** criteria.



Began the process of establishing new goals that will enhance Caterpillar's sustainability performance and impact.



Achieved our goal of lifting **50 million** people out of poverty with help from the Caterpillar Foundation.

**CATERPILLAR®** For more sustainability highlights and case studies, visit [www.reports.caterpillar.com/sr](http://www.reports.caterpillar.com/sr).

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\* All statements represent 2019 data and comparisons to 2018 where applicable.