



Application For Financing

(With Caterpillar Financial Australia Limited
A.B.N. 70 006 714 585)

GENERAL INFORMATION

| | | | |
|--|---------------------------|-------|----------------------|
| Applicant Name: | | | |
| Legal Entity: (Please Circle) Sole Trader / Partnership / Pty Ltd / Trust | | | |
| Trading Address: | | | |
| Postal Address: | | | |
| Registered Office Address: | | | |
| Business Phone: | Mobile Phone: | Fax: | |
| A.B.N.: | A.C.N.: | | |
| Contact Name: | Email: | | |
| Description of Business: | Time In Industry: | Years | Business Start Date: |
| Industry: | Time As Current Owner: | Years | No. Of Employees: |
| Trading Name: | Country of Establishment: | | Australia |

WHO WILL OPERATE YOUR EQUIPMENT?

| | | |
|--------------------|---|--------------------------|
| Select one: | (a) Only you and your employees | <input type="checkbox"/> |
| | (b) A related company | <input type="checkbox"/> |
| | (c) Other people or companies who pay to hire it and: | |
| | the hire period will always be (2) year or less | <input type="checkbox"/> |
| | the hire period may be more than (2) years | <input type="checkbox"/> |

INSURANCE AND ACCOUNTANT DETAILS

| | |
|--|--------|
| ACCOUNTANT DETAILS Company Name: | |
| Contact Name: | Phone: |
| Email: | |
| INSURANCE DETAILS Company / Agent Name: | |
| Contact Name: | Phone: |
| Email: | |

SCHEDULE OF FINANCIAL COMMITMENTS: (Additional financial information may be requested)

| Company Name | Account Number | Original Amount | Asset | Term | Monthly Payment | Months Left |
|--------------|----------------|-----------------|-------|------|-----------------|-------------|
| | | | | | | |
| | | | | | | |
| | | | | | | |

FULL NAME OF INDIVIDUALS / PARTNERS / DIRECTORS / SHAREHOLDERS (Please also complete the attached Privacy Disclosure Consent)

| | |
|----|-------------------------|
| 1. | Full Name: |
| | Address: |
| | Date of Birth: |
| | Drivers License Number: |
| | Email: |
| | Phone Number: |
| 2. | Full Name: |
| | Address: |
| | Date of Birth: |
| | Drivers License Number: |
| | Email: |
| | Phone Number: |
| 3. | Full Name: |
| | Address: |
| | Date of Birth: |
| | Drivers License Number: |
| | Email: |
| | Phone Number: |

CONFIDENTIAL STATEMENT OF
PERSONAL
ASSETS & LIABILITIES

NAME:

| | AMOUNT | | AMOUNT |
|------------------------------------|-----------|-------------------------------------|-----------|
| ASSETS | | LIABILITIES | |
| PROPERTY ADDRESS | | MORTGAGE | \$ |
| | \$ | | \$ |
| | \$ | | \$ |
| | \$ | | \$ |
| CASH AT BANK | \$ | BANK OVERDRAFT LIMIT | \$ |
| | | | |
| | | | |
| PLANT / EQUIPMENT / MOTOR VEHICLES | | LOAN- PLANT/EQUIPMENT/MOTOR VEHICLE | |
| | \$ | | \$ |
| | \$ | | \$ |
| | \$ | | \$ |
| | \$ | | \$ |
| | \$ | | \$ |
| | \$ | | \$ |
| | \$ | | \$ |
| | \$ | | \$ |
| | \$ | | \$ |
| SHARES / SUPPERANNUATION /OTHER | | OTHER LOANS | |
| | \$ | | \$ |
| | \$ | | \$ |
| | \$ | | \$ |
| | \$ | | \$ |
| | | | |
| | | | |
| | | | |
| | | | |
| TOTAL ASSETS | \$ | TOTAL LIABILITIES | \$ |

| | | | |
|------------------------|----|---------|--|
| | | SIGNED: | |
| TOTAL ASSETS | \$ | SIGNED: | |
| LESS TOTAL LIABILITIES | \$ | DATED: | |
| TOTAL NET WORTH | \$ | | |

PRIVACY CONSENT AND ACKNOWLEDGEMENT

A. CFAL'S HANDLING OF PERSONAL INFORMATION AND CREDIT INFORMATION

This section sets out information about how Caterpillar Financial Australia Limited, ABN 70 007 714 585 ("CFAL") may collect, hold, use and disclose personal information about any individual identified above ("you") in connection with this application for commercial credit. Please also see CFAL's Privacy Statement at https://www.catfinancial.com/en_AU/legal-notice/data-privacy.html.

CFAL collects personal information about you as set out in CFAL's Privacy Statement, as well as commercial credit information. It does not collect consumer credit information about you. The commercial credit information CFAL may collect, hold, use and disclose includes: identity particulars; you having applied to guarantee commercial credit provided by CFAL; the amount of commercial credit which you have guaranteed; your existing or previous commercial credit accounts; other credit providers having accessed, or made a request for, information about you in connection with an application for commercial credit; your commercial activities or commercial credit worthiness; details of the commercial credit that you have applied to guarantee and is the subject of this application; whether you have met, or failed to meet, your repayment obligations as a guarantor of commercial credit; payments of commercial credit no longer being overdue, or about arrangements regarding commercial credit having been varied or terminated; pay-out amounts in relation to any equipment CFAL has provided financing for; information concerning whether you have committed a serious credit infringement with regard to commercial credit; personal insolvency events or court proceedings; information about you from a credit reporting body concerning commercial credit, including commercial credit scores, assessment or records; commercial credit default information; information about you derived by CFAL, including internally generated scores, rating or other assessments; commercial credit reports; and any other information otherwise contemplated by CFAL's Privacy Statement, (together "Credit Information").

CFAL collects, holds, uses and discloses your personal information and Credit Information for the purposes of: assessing this application for commercial credit and any application to guarantee the commercial credit being applied for; assisting you in avoiding any default or failure to make a required payment; collecting any payments to which you are obligated to make as a guarantor; otherwise managing and administering any commercial credit provided by CFAL which you have guaranteed; and any other purposes set out in CFAL's Privacy Statement or otherwise permitted or authorised by law.

CFAL will generally collect personal information and Credit Information directly from you, however, it may also collect personal information and Credit Information from other sources as set out in CFAL's Privacy Statement. You are under no obligation to provide personal information and Credit Information requested by CFAL. However, without certain information, CFAL may be unable to assess whether to accept you as a guarantor or otherwise provide its products and/or services to you or a commercial entity with which you are connected. CFAL may disclose your personal information, including Credit Information, to the parties set out in CFAL's Privacy Statement.

You may request access to personal information, including Credit Information, that CFAL holds about you by contacting CFAL's Privacy Officer - Customer Service at: Caterpillar Financial Australia Limited, 1 Caterpillar Drive, Tullamarine, Victoria, 3043 Tel: +61 3 9953 9419 Fax: +61 3 9338 8415 Email: CFAL.PrivacyOfficer@cat.com.

B. PRIVACY CONSENT

By signing this form, you agree that CFAL may collect, hold, use and disclose your personal information, including your Credit Information, as set out above in Part A of this Privacy Consent and Acknowledgment and otherwise in accordance with CFAL's Privacy Statement.

You warrant that the information provided in or in connection with this application is true and correct and you are authorized to provide the information to CFAL. If this application is approved, this Privacy Consent will remain in force until the full amount owing to CFAL is repaid and all related accounts are closed.

C. BROKER FEE DISCLOSURE

If CFAL provides commercial credit in accordance with this application for commercial credit you may be required to pay a fee to CFAL to cover the costs of preparing finance documentation (as set out in the finance documentation). You acknowledge and agree that CFAL may pay all or part of that documentation fee to its equipment dealer or broker.

| | | |
|----------------|-------------|-------|
| BY: Signature: | Print Name: | Date: |
| BY: Signature: | Print Name: | Date: |
| BY: Signature: | Print Name: | Date: |
| BY: Signature: | Print Name: | Date: |

Fax to: 03 9338 8415 or Email to: cfalccc@cat.com