



CREDIT APPLICATION
 Caterpillar Financial Services Corporation
 Phone: (800) 651-0567
 Email: Credit.Department@cat.com

Check all that apply. I am financing:

- Equipment from a Cat dealer
- Equipment from an auction

I have previously applied with Cat Financial for:

- Equipment financing
- Commercial account to pay for parts, service, attachments or to rent equipment from a dealer
- N/A

SECTIONS 1-3 ARE REQUIRED FOR ALL CUSTOMERS

1 CUSTOMER

Legal Business Name _____ DBA (if any) _____

Type of Business Corporation Limited Liability Co General Partnership Limited Partnership Sole Proprietor Municipality Trust Other

Description of Business _____

Primary Contact Name _____ Title _____

Phone # _____ Mobile? Yes No E-Mail _____

Physical Address _____ City _____ County _____ State/Zip _____ / _____

Billing Address (if different) _____ City _____ County _____ State/Zip _____ / _____

Phone # _____ Fax # _____ Business Start Date _____ Years as Owner of this Business _____

Federal Tax ID Number _____ State Incorporated _____ Sales Tax Exempt Yes (please attach certificate) No

Prior bankruptcy? No Personal Current Business Prior Business

2 OWNERSHIP: Provide copy of government issued ID for all owners completing this section

1) Name _____ Date of Birth ____/____/____ SSN ____/____/____ % of Ownership ____% Net Worth _____

Address _____ City _____ State/Zip _____ / _____ Annual Income _____

2) Name _____ Date of Birth ____/____/____ SSN ____/____/____ % of Ownership ____% Net Worth _____

Address _____ City _____ State/Zip _____ / _____ Annual Income _____

3 REFERENCES

| | Phone # | Contact Name | Account # | Average Balance |
|--|---------|--------------|-----------|-----------------|
| Primary Bank Deposit Information | | | | |
| Primary Bank Credit Facility Information | | | | |
| Additional (Cat dealer/equipment rental/bonding) | | | | |
| 1) _____ | | | | |
| 2) _____ | | | | |
| 3) _____ | | | | |

4 LINE OF CREDIT DETAILS

> Finance equipment from an Auction

Requesting a line amount at: \$ _____

Ritchie Brothers/Iron Planet Other: _____

FINANCIAL: Attach the following if financing exceeds \$350,000 for equipment purchases

Financial statements for the last 2 fiscal year-ends, latest interim statements and comparable interims from prior year (if fiscal year-end is over 120 days), and a detailed list of work on hand
 Additional financial information may be required.

NOTICES

Definitions: The terms "you" and "your" will refer to the person applying for financing, each Guarantor and each Signatory signing this credit application. The terms "we", "us" or "our" will refer to Caterpillar Financial Services Corporation ("CFSC"). Collectively, CFSC, Caterpillar Inc. and their affiliates and subsidiaries are referred to herein as the "Caterpillar Companies".

Representations and warranties: You represent that the information provided by you in this Credit Application (i) is true, correct and complete and (ii) is provided for the purpose of obtaining business credit from us.

Notice and Consent: We may collect and use personal and business contact information, personal and business details, credit and financial information (including, without limitation, consumer reports and credit histories), and government identifiers. Any of your information supplied or obtained in connection with this Credit Application that also pertains to an individual may sometimes be referred to herein as "Personal Information". We may collect your information directly from you or from other Caterpillar Companies, sellers of Caterpillar products (each a "dealer"), banks, consumer reporting agencies, financial institutions, merchants, customers or any other person or entity for the uses described herein. You further authorize and instruct each such person or entity to furnish, share or otherwise make accessible to us any such information in their possession. We may use and rely upon such information, and any information provided in this Credit Application, to: (a) make a credit decision to extend credit now or in the future pursuant to a subsequent application or request, (b) continue any previously provided credit, (c) review your account, (d) assist in any collection activity, and (e) share such information with any other person or entity, including but not limited to the Caterpillar Companies, dealers, consumer reporting agencies, financial institutions, and merchants, to the extent permitted by law. Additionally, we may use and/or disclose any of your information, including any Personal Information, as required by law or regulation, or as requested by government authorities or for the protection of persons or property.

We may share your information, including any Personal Information, supplied or obtained in connection with this Credit Application, with Caterpillar Companies, dealers, and external service providers processing such information on our behalf, some of whom may be located in a country other than the United States. We may, from time to time, use such information and share such information among and with Caterpillar Companies and dealers to promote and market additional products or services of the Caterpillar Companies to you. You may opt out of receiving this type of communication by contacting us as indicated below.

We use reasonable measures to protect Personal Information against loss, manipulation, falsification, unauthorized access, or unauthorized disclosure.

By providing Personal Information to us, you consent to the collection and use of the Personal Information in accordance with the purposes described in this Credit Application. You also consent to the transfer of Personal Information to countries or jurisdictions that may not provide the same level of data protection as the legal jurisdiction in which you are located.

To make a request to access, update or delete your Personal Information (including to opt-out of future advertising, marketing or opinion poll communications from us), or for additional information about how we handle the Personal Information, please contact us at:

Caterpillar Financial Services Corporation
Attn: Customer Relations Manager
Mailing Address: 2120 West End Avenue, P.O. Box 340001, Nashville, TN 37203
E-Mail: NABC.CustomerService@cat.com
Phone: (800) 651-0567

By signing below, each individual Signatory authorizes and instructs us to procure a personal consumer credit report in connection with this credit application. This application for credit is solely addressed to us. A decision to grant or deny business credit by CFSC will be made by CFSC. We may, in our sole discretion, refuse to extend business credit, goods, or services to you and may terminate any such credit extended at any time.

Any references to a requested amount of credit in this Credit Application will not be deemed a limitation of liability by you. You understand and agree that any credit granted by us to you will be governed by the provisions and conditions set forth in the applicable agreements between us.

You acknowledge that this Credit Application is for business customers only (including sole proprietorships) and credit provided by us in connection with this Credit Application may not be used to acquire equipment or services for personal, household or family purposes. You acknowledge that you have read and fully understand the terms and conditions contained in this Credit Application.

The federal Equal Credit Opportunity Act prohibits creditors from discriminating against credit applicants on the basis of race, color, religion, national origin, sex, marital status, age (provided the applicant has the capacity to enter into a binding contract); because all or part of the applicant's income derives from any public assistance program; or because the applicant has in good faith exercised any right under the Consumer Credit Protection Act. The federal agency that administers compliance with this law concerning these creditors is the FTC Regional Office for the region in which CFSC operates or the Federal Trade Commission, Equal Credit Opportunity, Washington, DC 20580.

If your application for business credit is denied, you have the right to a written statement of the specific reasons for the denial. To obtain the statement, contact us at the applicable address below within 60 days from the date you are notified of our decision. We will send you a written statement of the reasons for the denial within 30 days from receiving your request for the statement.

Caterpillar Financial Services Corporation, Attn: Credit Manager, 2120 West End Ave., P.O. Box 340001, Nashville, TN 37203

SIGNATURES

Required signatures: If you are a legal entity (e.g., corporation, limited liability company or limited liability partnership), an authorized person must sign below on your behalf in addition to each owner listed in this Credit Application. If you are a partnership or a sole proprietorship, each owner must sign below.

Authorized Signature _____ Date _____

Printed Name _____ Title _____

Ownership (To be completed by every owner identified in the OWNERSHIP Section of this Application; ID required)

1) Signature _____ Printed Name _____ Date _____

2) Signature _____ Printed Name _____ Date _____