Spain Privacy Statement:

The data controller for the purpose of this Local Privacy Statement is CATERPILLAR FINANCIAL CORPORACIÓN FINANCIERA, E.F.C, S.A, (subsequently referred to as “Caterpillar Financial”, "we", "us" or "our") domiciled at Via de las dos Castillas Número 33 Edificio 6, 28224 Pozuelo de Alarcón, Madrid (“Caterpillar Financial”), a group company of the Financial Products Division of Caterpillar Inc.

The Organic Law 15/1999 relating to the protection of personal data (LOPD) requires us to provide notice to all customers and guarantors whose Personal Data is collected and maintained by us, during the establishment of the business relationship. Such collection may occur either during the contractual or pre-contractual phase, as well as in conjunction with the usage of an adjacent product or service, and may also involve access to your public records or other legally permissible sources of data.

This Local Privacy Statement explains how we will collect and use your Personal Data.

1) Personal Data collected: Personal Data means any information relating to an identified or identifiable natural person. We may collect and store your contact details (name, address, email address and phone number), credit scoring and history, and other appropriate Personal Data such as bank account information, identification card or document (passport, residence card, etc.) including all associated data, personal assets details, fiscal documents, companies ownership.

2) Sources of origin: We may gather Personal Data that relates to you, throughout our relationship with you, including (i) via direct sources, when you complete our contracts and/or forms and/or documents to further the credit transaction or use our website or (ii) indirectly from third parties (e.g. Caterpillar dealers, their sellers), official databanks, external commercial information agencies, market studies, and the internet.

3) Purposes of processing your Personal Data: Caterpillar Financial will use the information you provide to us for operational management purposes, where the data is considered strictly necessary for the performance of the contractual relationship, including for credit approval and collection purposes and the provision of the applicable services, including insurance.

When we collect Personal Data from you, we will inform you as to the purpose for which the Personal Data is collected. We will also inform you if your Personal Data is to be used for additional purposes than originally intended. Lastly, we will inform you of any consequences should you decide not to supply you information to us, such as we will not be able to evaluate you application for credit.

In addition we may use your data for the following purposes for which your consent is sought (please refer to the consent language at section 14 of this Local Privacy Statement).

- Marketing of financial products and services and Caterpillar equipment, products and services.
- Customer profiling for the purpose of analyzing trends and the risks of future operations, which may involve the conducting credit checks
- Conducting customer satisfaction surveys.

4) Sharing of your Personal Data: We may share your data with third parties for the following reasons:
a) With agents and service providers to carry out services for us for the furtherance of the contract. In respect of unpaid debt, where payment has been previously requested by us, we can only share the data for six years after the due date of the debt.
b) As part of legal proceedings.
c) With affiliated Caterpillar group companies , including in the United States of America and Singapore for:
i) The purposes of managing our portfolio, evaluating credit risk, evaluating customer satisfaction, reporting and data analysis;
ii) To contact you regarding additional Caterpillar financial products and services and other Caterpillar products, services and equipment.
d) With Caterpillar dealers for:
i) Administration and management of your contract with us;
ii) Management of defaulted customer accounts; and
iii) To contact you regarding products and services.
e) With insurance companies and brokers when you apply for insurance coverage;
f) With private credit reference agencies and leasing associations for the purpose of conducting credit checks. Information on your credit applications and performance under the financing contract may additionally be sent to these agencies and will be recorded by them. This data will be accessible to various banks and financial services providers;

g) With the Bank of Spain's Risk Information Center where data is submitted on credit risk to facilitate our authorization. Besides, we can access to obtain your data on Bank of Spain to facilitate our approval to a new credit risk application requested.
h) Specialized companies and third party system providers for customer survey administration, market intelligence analysis and sales opportunity management.

5) Sending of data abroad: Certain agents and service providers and Caterpillar Inc. group companies to whom we transfer data in accordance with sections 4a, c and h above may be located outside of the European Economic Area, where data protection laws are not as strict as they are in Spain. When this occurs Caterpillar Financial will ensure that adequate measures are in place to protect your Personal Data.

6) Personal Data processing conditions: The processing of Personal Data consists of the collection, storage, processing, consultation, analysis of the data aswell as comparison with other data, or any other kinds of processing, involving manual and electronic means, related to the purposes outlined in section 3 above, in accordance with the provisions of law.

7) Security and Retention of your data: Caterpillar Financial trains its employees to handle Personal Data in accordance with this Local Privacy Statement and approved practices and procedures established to ensure that we operate in accordance with applicable laws and the Caterpillar Enterprise Policies for protecting our customers' data. Only employees with proper authority are allowed access to your personal and business information.

   Caterpillar Financial retains your Personal Data for no longer than is necessary and reasonable in relation to the data's intended purpose. Your data will be retained in accordance with our records retention policy and as prescribed by law.

8) Your rights granted by the Law: We will take reasonable steps to keep your Personal Data accurate, complete, and current. You may freely exercise rights to access, correct, cancel or contest the handling of your data in accordance with the terms established in the Organic Law 15/1999 by contacting us using the contact information specified in section 13 of this Local Privacy Statement.

9) Location of files: the Personal Data obtained and processed by us will be maintained in the files of: a) CATERPILLAR FINANCIAL CORPORACIÓN FINANCIERA S.A. EFC, located at: Calle de las Dos Castillas, 33, edif. 6, 28224, Pozuelo de Alarcón (Madrid), b) CATERPILLAR FINANCIAL SERVICES CORPORATION, at its business address, located at: 2120 West End Avenue, Nashville, Tennessee 37203-001, and c) parties in charge of the processing that receive the said data from Caterpillar Financial, pursuant to the provisions of section 4 above.

10) Data controller: The data controller in charge of the processing of your Personal Data is CATERPILLAR FINANCIAL CORPORACIÓN FINANCIERA S.A. EFC through its current legal representative, domiciled at the business address of Caterpillar Financial. A list specifying all parties involved in the processing of your Personal Data is available at the business address of Caterpillar Financial mentioned at the top of this document.

11) Caterpillar Financial employs an annual self-assessment program to verify that the attestations and assertions made about its privacy practices in this Local Privacy Statement are true and that its privacy practices have been implemented appropriately.

    Information regarding the corporate approach to data protection adopted by companies in the Financial Products Division of Caterpillar Inc. and all of its subsidiaries is provided in our General Privacy Statement, which can be accessed via the following website: http://finance.cat.com/dataprivacy

12) Changes to this Local Privacy Statement: Caterpillar Financial reserves the right to amend this Local Privacy Statement. Any changes will be publicized on Caterpillar Financial's website and will be notified to you in writing where required by law. Please ensure that you check this Local Privacy Statement regularly for updates.

13) Contact us: If you have any questions or concerns about this Local Privacy Statement, or to exercise any of the rights described above, please contact : "SERVICIO DE ATENCION AL CLIENTE, TELEFONO +34 902 363 706" or send a letter to our address mentioned at the top of the document for the attention of: "SERVICIO DE ATENCION AL CLIENTE.

14) Consent:

By signing this statement you freely provide your clear, unequivocal, specific consent in accordance with the requirements of section 27 of the LOPD for the utilization of Personal Data for the above purposes, with particular reference to those described in section 3 *Purpose of Processing your Personal Data* and in the point 2 of the section 4 letter g) access to your data in Bank of Spain's Risk Information Center, and for the sharing of the data with the parties listed under section 4, and accept the terms outlined in this Local Privacy Statement.

To opt-out of the receipt marketing communications relating to additional financial products and services and Caterpillar products, services and equipment from Caterpillar Financial, its affiliated companies in the Caterpillar Inc. group, aswell as to the receipt of customer satisfaction surveys from Caterpillar Financial by clicking the box below.

I do not consent ☐

As regards the surveying and marketing purposes, any refusal to provide consent will not prevent Caterpillar Financial from creating a business relationship with you, but it will result in your exclusion from Caterpillar Financial's data base for the aforementioned purposes.

If you do not want receive marketing communications as per consent details above, you can opt out at any time using the contact details provided above.

_____ _____

Place/Date Signature of customer/guarantor

Name and Surname: _____

(acting on behalf of the company) Company stamp: