

---

# Understanding Generator Set Ratings

**Chad Dozier**

Electric Power, Caterpillar Inc.

## **ABSTRACT**

Smart rating choices combined with the latest in onsite power generation systems can help match equipment to a specific application for optimal long-term performance and reliability.

## INTRODUCTION

Generator set ratings may seem complex, but their basic purpose is simple: fit the application needs at the optimum reliability, performance, and cost. An improper rating means either buying more capacity than needed or risking shorter life to overhaul, more repairs, and more downtime. Ratings have changed in recent years, and more sophisticated switchgear can be integrated with generator sets. That means more flexibility to specify generating systems that closely match a specific installation requirement.

The key to choosing the right rating is to understand the application in detail. That means not only knowing the type of duty but also answering:

- What is the average load factor?
- How many hours per year will the generator sets run?
- What is the maximum required load?
- Will the generator sets be run isolated from or in parallel with the utility?

## RATINGS DEFINED

Caterpillar defines five basic generator set ratings: Emergency Standby Power (ESP), Standby, Mission Critical Standby, Prime, and Continuous. Cat generator set ratings differ in certain respects from those defined by the industry standard ISO8528-1 (Table 1).

Table 1: ISO 8528 and Caterpillar Ratings			
ISO 8528 Rating		Caterpillar Rating	
Rating	Definition	Rating	Definition
Emergency Standby Power (ESP)	The maximum power available during a variable electrical power sequence, under the stated operating conditions, for which a generating set is capable of delivering in the event of a utility power outage or under test conditions for up to 200 hours of operation per year with maintenance intervals and procedures being carried out as prescribed by the manufactures. The permissible average power output over 24 hours of operation shall not exceed 70% of the ESP rating.	Emergency Standby Power (ESP)	Typical usage of 50 hours per year with a maximum of 200 hours per year with varying loads. Average variable load factor is 70% of the ESP rating. No overload is available. Not for maintained utility paralleling applications.
No ISO equivalent		Standby Power	Typical usage of 200 hours per year, with a maximum of 500 hours per year with varying loads. Average variable load factor is 70% of Standby rating. No overload is available. Not for maintained utility paralleling applications.
		Mission Critical Standby	Typical usage of 200 hours per year, with a maximum of 500 hours per year with varying loads. Average variable load factor is 85% of Standby rating. Typical peak demand of up to 100% of the rating for 5% of the operating time. No overload is available. Not for maintained utility paralleling applications. Typical application is data centers and healthcare.
Limited Time Running Power (LTP)	The maximum power available under the agreed operating conditions, for which the generating set is capable of delivering for up to 500 hours of operation per year with the maintenance intervals and procedures being carried out as prescribed by the manufacturers.	Load Management Guidelines (Prime Power Rating)	Load management is the deliberate control of loads on a generator set and/or utility to have the lowest possible electrical costs. Maximum of 500 hours per year with varying loads. Maximum load factor is 100%. Typical application is peak shaving.
Prime Running Power (PRP)	The maximum power which a generating set is capable of delivering continuously whilst supplying a variable electrical load when operated for an unlimited number of hours per year under the agreed operating conditions with the maintenance intervals and procedures being carried out as prescribed by the manufacturer. The permissible average power output over 24 hours of operation shall not exceed 70% of the PRP rating.	Prime Power	Unlimited hours of usage. Average variable load factor is 70% of the Prime Power rating. 10% overload available, but limited to 1 in 12 hours and not to exceed 25 hours per year. The 10% overload is available in accordance with ISO 3046-1. Life to overhaul of the engine is dependant on operating as outlined in ISO 8528, and time spent during operation above 70% load may affect the life to overhaul.
Continuous Operating Power (COP)	The maximum power which the generation set is capable of delivering continuously whilst supplying a constant electrical load when operated for an unlimited number of hours per year under the agreed operating conditions with the maintenance intervals and procedures being carried out as prescribed by the manufacturer.	Continuous Power	Unlimited hours of usage. Non-varying load factor is 70%-100% of the published Continuous Power rating. Typical pear demand is 100% of the continuous rating for 100% of the operating hours.

Table 1: ISO 8528 and Caterpillar Ratings

Here are basic descriptions of the Cat genset ratings:

**Standby**

In this application, the generator set is capable of providing emergency backup power at the nameplate rating for the duration of an outage. The average load factor of a Standby rated generator set should be no more than 70% of the nameplate rating and applied to varying loads. A Standby generator set can run for a maximum of 500 hours per year. The normal standby rating is not for use in utility paralleling applications. For example, a 3 MW standby rated generator set will provide power for the duration of an outage. It should be run for up to 500 hours per year and have an average load factor of 2.1 MW.

**Emergency Standby Power (ESP)**

The ESP rating differs from the Standby rating only in the number of running hours allowed per year. ESP ratings allow a maximum running time of 200 hours per year at a 70% average load factor with varying load. An example of the Standby and ESP ratings are shown in Figure 1.

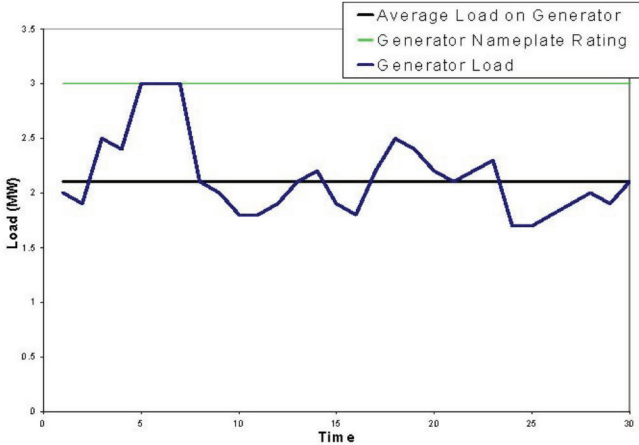


Figure 1: Example Load Profile – 3 MW Standby

**Mission Critical Standby**

In this application, the generator set is capable of providing emergency backup power at the nameplate rating for the duration of an outage. The average load factor of a mission critical standby rated generator set should be no more than 85% of the nameplate rating with varying loads. A mission critical standby generator set can run for a maximum of 500 hours per year. Typical peak demand is 100% of the rating for maximum of 5% of the operating time. The mission critical standby rating is not for use in utility paralleling applications. An example of the mission critical standby rating is shown in Figure 2.

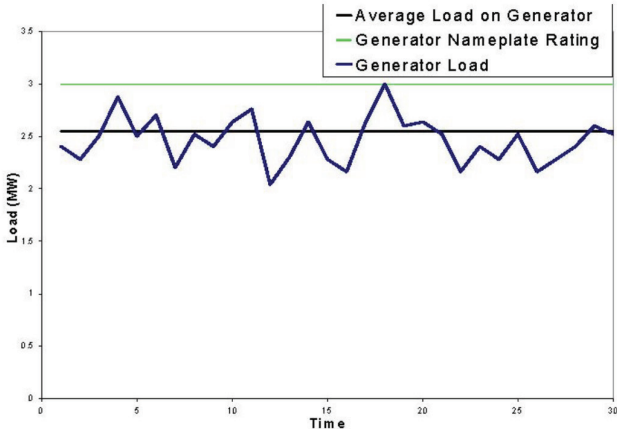


Figure 2: Example Load Profile: 3MW Mission Critical Standby Rating

For example, a 3 MW mission critical standby-rated generator set will provide power for the duration of an outage. It could be run for up to 500 hours per year and have an average load factor of up to 2.55 MW.

## Prime

In this application, the generator set is capable of providing power to a varying load for an unlimited number of hours per year. A Prime rated generator set is capable of providing full nameplate rating for a period of time, but must have an average load factor of no more than 70% of the Prime rating. Ten percent overload is allowed for emergencies for a maximum of 1 hour in 12, and for no more than 25 hours per year. The standard prime rating is for use in either utility paralleling or isolated applications. For example, a 2.7 MW rated unit may provide the full nameplate rating for a short duration, but should have a maximum average load of 1.89 MW (not including generator set non-running time per ISO8528-1). The generator set can also provide 3 MW of power in emergencies as defined above. An example of the Prime rating is shown in Figure 3.

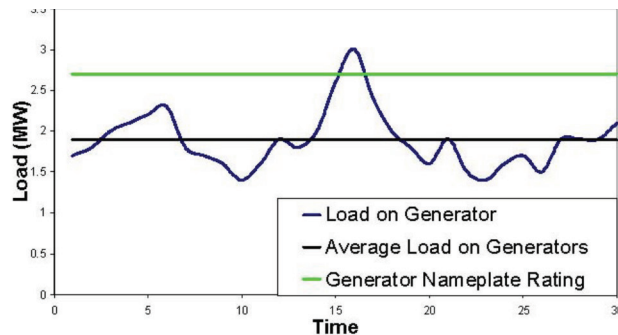


Figure 3: Example Load Profile: 2.7 MW Prime Rating

## LOAD MANAGEMENT

A Prime rated generator applied under load management guidelines allows for a Prime rated generator set to be used in parallel with the utility. A Prime rated generator set under load management guidelines can run for a maximum of 500 hours per year. This generator set has the same nameplate rating as a Prime rated unit, but allows for an average load factor of up to 100%. The Prime rating with load management guidelines does not allow for a 10% overload capability. For example, these guidelines state that a 2.7 MW unit (same nameplate rating as the Prime rated unit) can be run at 2.7 MW for a maximum of 500 hours.

### Load Management Practices

There are two basic load management practices: base loading and peak shaving. In base loading, the generator set operates at a fixed kW output, and the utility provides power for any peaks above that level. In this scenario the end user may export power to the grid if more power is being generated than is required by the facility loads, and the appropriate agreements with the utility are in place. An example of base loading is shown in Figure 4.

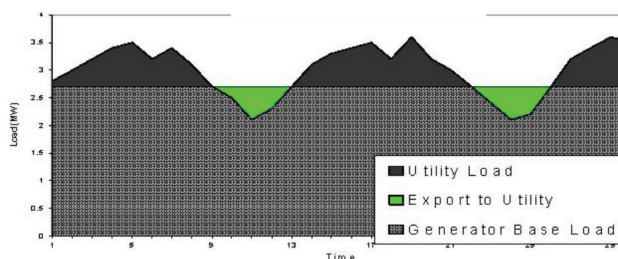


Figure 4: Example Load Profile – 2.7 MW Load Management (Base Loading)

In peak shaving, the utility provides a fixed amount of kW and the end user deploys the generators to pick up, or shave, demand peaks and any other load requirement over that fixed amount of load. Peak shaving can be used during times of the year when the utility has demand higher than its capacity. In this case, the utility provides incentives to generator set owners to use peak shaving to decrease the load demand from the grid. An example of peak shaving is shown in Figure 5.

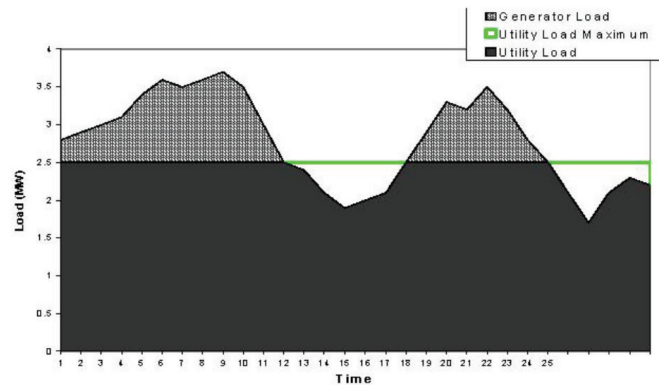


Figure 5: Example Load Profile – 2.7 MW Load Management (Peak Shaving)

### Continuous

In this application, the generator set is able to provide power to a non-varying load for an unlimited number of hours per year. The average power output of the generator set is 70 -100% of the rating. The rating is designed to provide 100% of the rating for 100% of the operating hours. Typical Continuous rating applications include base loading in parallel with the utility and co-generation operations. An example of a continuous rated generator set is shown in Chart 6.

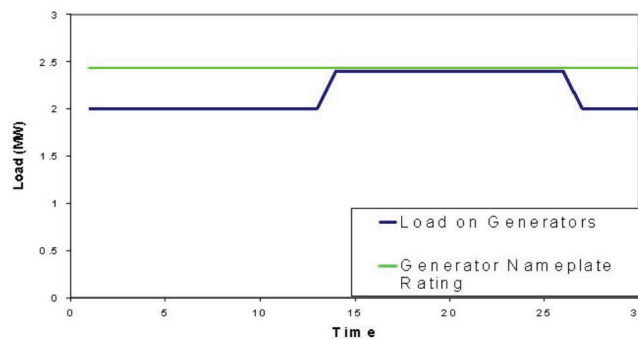


Figure 6: Example Load Profile – 2.5 MW Continuous Rating

### Performing Dual Duty

Intelligent use of ratings also can help customers use power systems for the added purpose of load management. Here, advanced switchgear is part of the equation. In some applications there may be a desire to use backup generators for load management to produce an additional return on their investment. However, standby rated generator sets are not intended for operation in parallel with the utility. To perform utility paralleling applications a prime rating with load management guidelines or a continuous rated unit are appropriate. Paralleling switchgear combined with the correct prime rated units is required when operating under load management guidelines. The switchgear controls provide increased system flexibility allowing generator sets to operate in parallel with the utility. The switchgear is able to control the load on the generator sets and monitor the power supplied from the utility. This ensures that the generator sets are providing the proper load and are not operating outside of their rating guidelines.

## CONCLUSION

Regardless of the application, generator set ratings help ensure that customers' power needs are met and that generating equipment is protected from premature wear. Choosing the right rating means making the proper tradeoffs between run hours, peak load, and average load. The proper rating means the customer receives the optimum combination of installed cost and long-term cost of ownership.

**LET'S DO THE WORK.™**

LEXE0047-04 August 2013

© 2019 Caterpillar. All Rights Reserved. CAT, CATERPILLAR, LET'S DO THE WORK, their respective logos, "Caterpillar Yellow", the "Power Edge" and Cat "Modern Hex" trade dress as well as corporate and product identity used herein, are trademarks of Caterpillar and may not be used without permission.

**CATERPILLAR®**