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[young\\_bridget\\_m@cat.com](mailto:young_bridget_m@cat.com)**Caterpillar Again Named to Dow Jones Sustainability Indices***Caterpillar drives sustainability across the enterprise, helping the company and its customers to build a better world.*

DEERFIELD, Ill. — Caterpillar Inc. (NYSE: CAT) has been named to the 2019 Dow Jones Sustainability Indices (DJSI), including both the World and North America indices. This marks the 20<sup>th</sup> time Caterpillar has been included in the DJSI.

“We are proud of Caterpillar’s long-time presence in the Dow Jones Sustainability Indices,” said Caterpillar Chairman and CEO Jim Umpleby. “Our global team focuses every day on improving their local communities and enabling our customers to build a better world, and those ongoing efforts made this recognition possible.”

Some of the sustainability successes that led to Caterpillar’s inclusion are:

- Commitment to helping its customers succeed by understanding their needs, increasing the value delivered and earning their loyalty over the life of the relationship
- Cultivating a workplace where team members feel supported, leveraging employees’ unique talents, skills, abilities, backgrounds and experiences
- Collaboration with suppliers to assess sustainability performance and identify improvement opportunities
- Continued global focus on innovation to develop new and improved products, services and solutions
- Recognition of the company’s human rights policy and program

- Public reporting and third-party verification of social and environmental goals and progress

The annual DJSI process follows a best-in-class approach, evaluating numerous corporate economic, environmental and social performance factors.

For more on Caterpillar's sustainability progress and how the company is helping build a better world, read the 2018 Sustainability Report: <http://reports.caterpillar.com/sr/>.

### **About Caterpillar**

For more than 90 years, Caterpillar Inc. has been making sustainable progress possible and driving positive change on every continent. Customers turn to Caterpillar to help them develop infrastructure, energy and natural resource assets. With 2018 sales and revenues of \$54.722 billion, Caterpillar is the world's leading manufacturer of construction and mining equipment, diesel and natural gas engines, industrial gas turbines and diesel-electric locomotives. The company principally operates through its three primary segments - Construction Industries, Resource Industries and Energy & Transportation - and also provides financing and related services through its Financial Products segment. For more information, visit [caterpillar.com](http://caterpillar.com). To connect with us on social media, visit [caterpillar.com/social-media](http://caterpillar.com/social-media).

### **Forward-looking Statements**

Certain statements in this press release relate to future events and expectations and are forward-looking statements within the meaning of the Private Securities Litigation Reform Act of 1995. Words such as "believe," "estimate," "will be," "will," "would," "expect," "anticipate," "plan," "project," "intend," "could," "should" or other similar words or expressions often identify forward-looking statements. All statements other than statements of historical fact are forward-looking statements, including, without limitation, statements regarding our outlook, projections, forecasts or trend descriptions. These statements do not guarantee future performance and speak only as of the date they are made, and we do not undertake to update our forward-looking statements.

Caterpillar's actual results may differ materially from those described or implied in our forward-looking statements based on a number of factors, including, but not limited to: (i) global and regional economic conditions and economic conditions in the industries we serve; (ii) commodity price changes, material price increases, fluctuations in demand for our products or significant shortages of material; (iii) government monetary or fiscal policies; (iv) political and economic risks, commercial instability and events beyond our control in the countries in which we operate; (v) our ability to develop, produce and market quality products that meet our customers' needs; (vi) the impact of the highly competitive environment in which we operate on our sales and pricing; (vii) information technology security threats and computer crime; (viii) additional restructuring costs or a failure to realize anticipated savings or benefits from past or future cost reduction actions; (ix) failure to realize all of the anticipated benefits from initiatives to increase our productivity, efficiency and cash flow and to reduce costs; (x) inventory management decisions and sourcing practices of our dealers and our OEM customers; (xi) a failure to realize, or a delay in realizing, all of the anticipated benefits of our acquisitions, joint ventures or divestitures; (xii) union disputes or other employee relations issues; (xiii) adverse effects of unexpected events including natural disasters; (xiv) disruptions or volatility in global financial markets limiting our sources of liquidity or the liquidity of our customers, dealers and suppliers; (xv) failure to maintain our credit ratings and potential resulting increases to our cost of borrowing and adverse effects on our cost of funds, liquidity, competitive position and access to capital markets; (xvi) our Financial Products segment's risks associated with the financial services industry; (xvii) changes in interest rates or market liquidity conditions; (xviii) an increase in delinquencies, repossessions or net losses of Cat Financial's customers; (xix) currency fluctuations; (xx) our or Cat Financial's compliance with financial and other restrictive covenants in debt agreements; (xxi) increased pension plan funding obligations; (xxii) alleged or

actual violations of trade or anti-corruption laws and regulations; (xxiii) international trade policies and their impact on demand for our products and our competitive position; (xxiv) additional tax expense or exposure including the impact of U.S. tax reform; (xxv) significant legal proceedings, claims, lawsuits or government investigations; (xxvi) new regulations or changes in financial services regulations; (xxvii) compliance with environmental laws and regulations; and (xxviii) other factors described in more detail in Caterpillar's Forms 10-Q, 10-K and other filings with the Securities and Exchange Commission.