



Application For Financing

(With Caterpillar Financial Australia Limited
A.B.N. 70 006 714 585)

GENERAL INFORMATION

Applicant Name:			
Legal Entity: (Please Circle) Sole Trader / Partnership / Pty Ltd / Trust			
Trading Address:			
Postal Address:			
Registered Office Address:			
Business Phone:		Mobile Phone:	Fax:
A.B.N.:		A.C.N.:	
Contact Name:		Email:	
Description of Business:	Time In Industry:	Years	Business Start Date:
Industry:	Time As Current Owner:	Years	No. Of Employees:
Trading Name:			Country of Establishment: Australia

WHO WILL OPERATE YOUR EQUIPMENT?

Select one:	(a) Only you and your employees	<input type="checkbox"/>
	(b) A related company	<input type="checkbox"/>
	(c) Other people or companies who pay to hire it and:	
	the hire period will always be a year or less	<input type="checkbox"/>
	the hire period may be more than a year	<input type="checkbox"/>

INSURANCE AND ACCOUNTANT DETAILS

INSURANCE DETAILS Company / Agent Name:	
Contact Name:	Phone:
Email:	
ACCOUNTANT DETAILS Company Name:	
Contact Name:	Phone:
Email:	

FINANCIAL INFORMATION: (Additional financial information may be requested)

BANKING DETAILS						
Bank Name			Branch			
FINANCE COMPANY DETAILS						
Company Name	Account Number	Original Amount	Asset	Term	Monthly Payment	Months Left

FULL NAME OF INDIVIDUALS / PARTNERS / DIRECTORS / SHAREHOLDERS (Please also complete the attached Privacy Disclosure Consent)

1.	Full Name:	
	Address:	
	Date of Birth:	Drivers License Number:
	Residential Status (Own / Buying / Renting):	Phone Number:
2.	Full Name:	
	Address:	
	Date of Birth:	Drivers License Number:
	Residential Status (Own / Buying / Renting):	Phone Number:

CONFIDENTIAL STATEMENT OF
PERSONAL
ASSETS & LIABILITIES

NAME:

	AMOUNT		AMOUNT
ASSETS		LIABILITIES	
PROPERTY ADDRESS		MORTGAGE	\$
	\$		\$
	\$		\$
	\$		\$
CASH AT BANK	\$	BANK OVERDRAFT LIMIT	\$
PLANT / EQUIPMENT / MOTOR VEHICLES		LOAN- PLANT/EQUIPMENT/MOTOR VEHICLE	
	\$		\$
	\$		\$
	\$		\$
	\$		\$
	\$		\$
	\$		\$
	\$		\$
	\$		\$
	\$		\$
SHARES / SUPPERANNUATION /OTHER		OTHER LOANS	
	\$		\$
	\$		\$
	\$		\$
	\$		\$
TOTAL ASSETS	\$	TOTAL LIABILITIES	\$

TOTAL ASSETS
LESS TOTAL LIABILITIES
TOTAL NET WORTH

\$
\$
\$

SIGNED: _____

SIGNED: _____

DATED: _____

PRIVACY CONSENT AND ACKNOWLEDGEMENT

A. CFAL'S HANDLING OF PERSONAL INFORMATION

This section sets out information about how Caterpillar Financial Australia Limited, ABN 70 007 714 585 ("CFAL") may collect, use and disclose personal information about any individual identified above ("you") and provided to CFAL in and/or in connection with this application for commercial credit.

To the extent CFAL collects credit information about you, it only collects commercial credit information. CFAL does not collect information about your consumer credit. For more information about CFAL's handling of your personal information, please refer to CFAL's Privacy Statement available at https://www.catfinancial.com/en_AU/legal-notice/data-privacy.html.

In assessing this application for commercial credit including your application to guarantee any commercial credit granted by CFAL, or otherwise to facilitate the provision of its products and services, CFAL may collect, hold, use, disclose and otherwise handle personal information about you. Such information ("Credit Information") may include:

- identity particulars;
- information about you having applied to guarantee commercial credit provided by, or for which an application has been made to, CFAL;
- the amount of commercial credit which you have guaranteed, or applied to guarantee;
- information about your existing or previous commercial credit accounts, if any;
- information about other credit providers having accessed, or made a request for, information about you in connection with an application for commercial credit;
- information about your commercial activities or commercial credit worthiness;
- that CFAL has provided commercial credit, which you have guaranteed, or applied to guarantee;
- whether you have met, or failed to meet, your repayment obligations as a guarantor;
- information about payments no longer being overdue or about arrangements regarding the commercial credit provided having been varied or terminated;
- information about pay-out amounts in relation to any equipment CFAL has provided financing for;
- CFAL's opinion, on reasonable grounds, that you have committed a serious credit infringement with regard to commercial credit;
- publicly available information including about any personal insolvency events or court proceedings;
- information provided by a credit reporting body about commercial credit including credit scores, assessment or records; and
- information derived by CFAL from information provided to CFAL by you, a credit reporting body or its own records including internally generated scores, rating or other assessments.

CFAL collects your personal information and Credit Information provided in, or otherwise in connection with, this application for:

- the purpose of assessing this application for commercial credit and in particular, your application to guarantee the commercial credit being applied for;
- the purpose of assisting you in avoiding any default or failure to make a payment as required;
- the purpose of collecting any payments to which you are obligated to make as a guarantor;
- the purpose of providing you with information about products and services offered by CFAL, its related bodies corporate or selected third parties, that may be of interest to you, unless you:
 - request that you do not wish to be provided with information about other CFAL products or services that may be of benefit you, by ticking the box below next to your signature; or
 - otherwise elect not to receive such information by contacting CFAL's Privacy Officer - Customer Service in accordance CFAL's Privacy Statement;
- the purposes of otherwise managing and administering any commercial credit provided by CFAL which you have guaranteed, including the management of your obligations as guarantor;
- researching and/or developing CFAL's products or services and maintaining and developing its business systems and infrastructure; and
- any other purposes set out in CFAL's Privacy Statement or otherwise permitted or authorised by law.

CFAL may disclose personal information, including Credit Information, collected in and in connection with this application to:

- other credit providers;
- credit reporting bodies including for the purposes of:
 - collecting further information about you for the purpose of assessing your application to guarantee commercial credit; and/or
 - complying with its anti-money laundering and counter-terrorism financing obligations, such as verifying your identity;
- CFAL's related bodies corporate, business partners, agents, auditors, contractors, consultants, insurers, professional advisors and other third party service providers that provide financial, legal, accounting or other administrative services (whether in Australia or overseas);
- your professional advisors and service providers, or those of an entity with which you are connected and to which CFAL may provide, or has provided, commercial credit to which you have guaranteed or applied to guarantee;
- debt collection and recovery service providers;
- CFAL's equipment dealers and brokers;
- other guarantors or prospective guarantors of any commercial credit provided by CFAL in connection with your application;
- other entities that may have an interest in CFAL, the benefits of any contracts entered into by CFAL, or any rights under an account or agreement that you have with CFAL, or entities that are otherwise involved in a transfer of sale of all or part of CFAL's assets or business (including accounts and trade receivables);
- State and Federal Government Authorities;
- the relevant government agency that issued any documents you provide as evidence of your identity, via the Document Verification Service (which may be facilitated by a third party). You can find more information about the Document Verification Service at www.dvs.gov.au;
- enforcement bodies for the purpose of identifying and addressing any fraudulent or other criminal conduct;
- anyone authorised by you; and
- any other person or entity set out in CFAL's Privacy Statement or otherwise permitted or authorised by law.

If information is not provided: You are under no obligation to provide personal information requested by CFAL. However, without certain personal information, CFAL may be unable to assess whether to accept you as a guarantor or otherwise provide its products and/or services to you or a commercial entity with which you are connected.

Access to your information: You may request access to personal information, including Credit Information, that CFAL holds about you by contacting CFAL's Privacy Officer - Customer Service at:

Caterpillar Financial Australia Limited
1 Caterpillar Drive, Tullamarine, Victoria 3043
Tel: +61 3 9953 9419
Fax: +61 3 9338 8415
Email: CFALPrivacyOfficer@cat.com

B. PRIVACY CONSENT

By signing this form, you agree that CFAL may:

Collect, use and disclose your personal information, including your Credit Information, as set out above in Part A.

- Disclose Credit Information about you to, or seek Credit Information about you from, a credit reporting body for the purposes of assessing your application to be a guarantor of commercial credit. If you do not want CFAL to verify your identity using your credit information file, please contact CFAL's Privacy Officer before signing this form. CFAL may be able to verify your identity using other information sources.
- Give to and seek from other credit providers, including those (if any) named in this application or other material provided in connection with this application, or named in a credit report received from a credit reporting body, Credit Information about you for the purposes of (where applicable):
 - a. assessing whether to accept you as a guarantor of commercial credit granted, or proposed to be granted, pursuant to this application;
 - b. assisting you to avoid defaulting on your obligations; and
 - c. assisting other credit providers in assessing your commercial credit worthiness.
- Obtain Credit Information about you from a credit reporting body, or other business that provides information about credit worthiness, for the purpose of assessing whether to accept you as a guarantor (if applicable).
- Give Credit Information about you to, and obtain Credit Information about you from:
 - a. the applicant;
 - b. any other guarantor or prospective guarantor, in connection with this application;
 - c. any person who has provided, provides or is considering providing credit to you or who has taken or may take any form of security from you;
 - d. CFAL's associated entities;
 - e. any holder or valuer of an asset referred to in this application;
 - f. any legal or financial adviser of yours or other person or entity referred to in this subparagraph; and
 - g. anyone you otherwise consent to receive the Credit Information.
- Otherwise collect, use and disclose your personal information, including your Credit Information, in accordance with CFAL's Privacy Statement available at https://www.catfinancial.com/en_AU/legal-notices/data-privacy.html.

C. YOUR REPRESENTATIONS UPON MAKING THIS APPLICATION

You (being each person signing below) warrant that:

- the information provided in or in connection with this application is true and correct and you are authorized to provide the information to CFAL;
- you are not a discharged/undischarged bankrupt;
- you are not aware of any judgments against you or payment defaults under other financing arrangements that at this date remain unpaid;
- you consent to CFAL disclosing your personal information, including Credit Information, to a credit reporting body and to the Document Verification Service, including for the purpose of complying with its anti-money laundering and counter-terrorism financing obligations, such as verifying your identity;
- if this application is approved, this Privacy Consent will remain in force until the full amount owing to CFAL, or its related bodies corporate, is repaid and all related accounts are closed; and
- you have read and understood this Privacy Consent and CFAL's Privacy Statement available at https://www.catfinancial.com/en_AU/legal-notices/data-privacy.html and you consent to CFAL collecting, holding, using and disclosing personal information and Credit Information about you, for the purposes set out in this Privacy Consent and otherwise in CFAL's Privacy Statement.

D. DISCLOSURE REGARDING PAYMENT FROM CFAL TO DEALER OR BROKER

If, following this application, CFAL provides commercial credit in relation to which you are accepted to act as guarantor you may be required to pay a fee to CFAL to cover the costs of preparing finance documentation (the amount of that documentation fee would be set out in the finance documentation). By signing this form, you acknowledge and agree that CFAL may pay all or part of that documentation fee to its equipment dealer or broker.

Opt out of Marketing Materials: By ticking here I request NOT to be sent information about other CFAL products or services.

BY: Signature:	Print Name:	Date:
BY: Signature:	Print Name:	Date:
BY: Signature:	Print Name:	Date:
BY: Signature:	Print Name:	Date:

Application Number:	<input type="checkbox"/> Existing Customer
	CCAN: