

## MESSAGE FROM DOUG

# A Morning I'll Never Forget

I can honestly say that I enjoy coming to work every day, especially when I have the opportunity to talk to employees, customers and suppliers. But recently I spent the morning with the D11 team in building SS, East Peoria. This was a morning I'll never forget.



I'm always impressed when I walk into a Caterpillar factory, but spending time on the line took it to a whole new level. Here's what I walked away thinking:

- There are a lot of things that can go wrong when building a Cat® tractor. These are big, complex machines, and the men and women building them have a huge responsibility. The same goes for

our engines and all our products.

- Safety always has to be the top priority. I was worried that I was going to hurt somebody or even myself. This is probably a good thing because we can never lose our focus on being safe. **"Our Caterpillar team is pretty impressive!"**
- The men and women working in our factories have important jobs. They are well trained and highly skilled. Our customers are counting on them, and they really deliver. I'm proud of all our teams around the world.
- When you really think about what it takes to get one of our products to a customer, it's amazing. The design, supplies, machines, logistics and people all have to come together in exactly the right way at the right time. Our Caterpillar team is pretty impressive!



I'd like to thank the team in SS for taking a chance on an untrained assembler like me. It was an experience I will never forget. I'd also like to thank every person working in a Caterpillar factory. While this one morning certainly doesn't make me an expert, it does deepen my appreciation for what you do. Thank you!

*Doug*

## Caterpillar Acquires MWM

In November of 2011, Caterpillar finalized the purchase of MWM Holding GmbH (MWM), a leading global supplier of sustainable, natural gas and alternative-fuel engines.

"The completion of the MWM acquisition represents the latest step in our strategic plan to aggressively grow our energy and power systems business," said Gerard Vittecoq, Caterpillar group president with responsibility for Energy and Power Systems. "By combining our strengths in diesel and gas power generation with the technology and product strength of MWM, we are well positioned to be a lead provider of gas engine solutions for our customers."

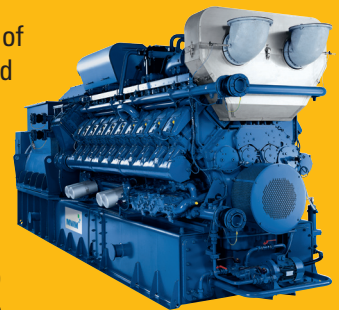
Headquartered in Mannheim, Germany, MWM is now part of Caterpillar's Electric Power Division,

which supplies diesel and natural gas generator sets and integrated power systems involved in the generation, control and supply of electricity.

MWM has more than 135 years of experience in the development and optimization of combustion engines for natural gas, special gas and diesel fuel.

Innovation is key to enabling MWM to produce solutions tailored to the individual needs of its customers. MWM systems and engines for decentralized natural gas energy supplies are used for applications such as industry, hospitals, greenhouses, airports and oil rigs.

For more information about MWM, please visit [caterpillar.com/brands/mwm](http://caterpillar.com/brands/mwm).





# CATERPILLAR BREAKS NEW GROUND IN CHINA

On January 5, 2012, Caterpillar Tongzhou Co. Ltd. broke ground on a new proving ground and a new large wheel loader (LWL) facility. Several Caterpillar leaders, including Vice President and Chief Technology Officer Tana Utley, attended the ceremony with representatives from Nantong Municipal Government and Xitong Science and Technology Industrial Park.

The state-of-the-art Asia Pacific Proving Ground (APPG) is an extension of Caterpillar's research-and-development presence in Asia. It will provide validation and product-development services for Cat® machinery

produced in China and the Asia/Pacific region. The facility is expected to be operational in the third quarter of 2012.

"The APPG facility is critical for Caterpillar to develop and validate the right products for growth markets quickly and cost effectively," said Utley. "The collocation of the APPG and the large wheel loader manufacturing facility will better position Caterpillar to compete and support its growing customer base in Asia."

The new LWL manufacturing facility is the first Integrated Manufacturing Operations Division (IMOD) facility in China.

"Caterpillar has a long-term commitment to customers in China," said Kevin Thieneman, country manager for China, India/ASEAN. "The new large wheel loader will support customer demand in China and other growth markets."

Once operational, employees will produce a new model of large wheel loader that is currently being developed at Caterpillar's Wuxi Research and Development Center. The LWL facility is expected to be operational in the fourth quarter of 2012.

## Caterpillar Named TOP 50 EMPLOYER



Caterpillar has been named to the 21st annual Top 50 Employers list published in *CAREERS & the disABLED Magazine*. The annual list is generated by reader surveys and highlights the top companies in the United States for hiring people with disabilities.

"Caterpillar is honored to be recognized by *CAREERS & the disABLED Magazine*," said Patrick Murphy, talent management director for Caterpillar's Human Services Division. "Caterpillar truly values our employees' unique skills, abilities, experiences and cultural backgrounds that enable us to achieve superior business results. We are committed to further developing a culture of trust, respect and dignity."

Established in 1986, *CAREERS & the disABLED* is the nation's first and only career-guidance and recruitment magazine for people with disabilities. Readers selected the top 50 companies for which they would most prefer to work or believe are progressive in hiring people with disabilities. Caterpillar's ranking appeared in the winter 2011-2012 edition.

## Tell Us Your Story

Do you have an interesting employee or retiree story to share? Please send your story suggestions and related photos to [cat\\_folks@cat.com](mailto:cat_folks@cat.com).

# Aurora Employee Celebrates 50 Years At Caterpillar



Vice President David Bozeman (left) recognizes John "Johnny" Rodgers (right) for 50 years of service.

On January 12, 1962, John "Johnny" Rodgers started working at the Caterpillar Aurora facility. More than 50 years later, he's still going strong.

Rodgers started in Aurora three years after the doors opened in 1959. Since then, he's been part of the product lines, initiatives and overall growth the campus has experienced.

Rodgers is an assembly and test specialist for Aurora's powertrain value stream. Previously, he spent 22 years as a heat-treat checker.

"Heat-treat checker has been my favorite job so far," said Rodgers. "It was exciting and demanding. I was responsible for ensuring the furnace was safe. I enjoyed the responsibilities that came with working with gas, generators and the furnace."

On January 12, 2012, Rodgers was recognized for his ongoing commitment to Caterpillar. Integrated Manufacturing Operations Division Vice President David Bozeman presented Rodgers with his 50-year pin during the special event.

Although Rodgers just celebrated his 50th anniversary, he has no plans to retire anytime soon. He still starts every day by saying, "It's another beautiful day at the tractor factory."

## Caterpillar "Fast Facts"

Did you know that in 1969, Cat® engines supplied the power for communications between the Apollo 11 spacecraft and all of the NASA tracking stations around the world? Not only were these communications vital to the safe landing of the spacecraft, but it would have been impossible to hear Neil Armstrong's first words from the moon without the power provided by Cat® engines.

## Employee Assistance Program Wins International Quality Award

Caterpillar's Employee Assistance Program (EAP) was awarded the EAP Quality Award by the Internal Employee Assistance Professional Association. Dr. John Pompe (pictured right) and Monica Ratcliff from Caterpillar Corporate Medical accepted the award during a special recognition event on October 29, 2011.



The EAP Quality Award is given to programs that have demonstrated excellence and taken extraordinary steps in measuring the effectiveness of the services they provide. Caterpillar's EAP received the award in partnership with its vendor, Chestnut Global Partners.

Caterpillar and Chestnut have established rigorous program metrics and processes for quality improvement, including implementing new measures to evaluate the impact EAP services have on employee productivity and performance.

"Employee health, particularly mental health, can be one of the largest factors in our employees' success at home and at work," said Dr. Pompe. "It is a privilege and an honor to be in a position to support Caterpillar people."

Caterpillar's EAP provides employees and eligible family members assistance to help address a variety of personal, social, financial and work-related concerns before they begin to negatively impact wellbeing or job performance. The program is a voluntary and confidential resource that is offered free of charge.

For more information about EAP, please visit [cathealthbenefits.cat.com](http://cathealthbenefits.cat.com) or call 309-820-3604.

Photo courtesy of CHF International



# REBUILDING HAITI – Caterpillar’s Past, Present And Future Impact

On January 12, 2010, a 7.3 magnitude earthquake devastated Haiti, a nation already suffering from widespread poverty, hunger and disease.

The catastrophic event lasted for 35 terrifying seconds. According to the United Nations, more than 300,000 Haitians lost their lives, while another three million were affected by the disaster.

Caterpillar was among the dedicated first-response organizations to lend a hand. Just days after the earthquake, the Caterpillar Foundation delivered an outright contribution of \$200,000. An aggressive matching program for employees, retirees and dealers pushed the disaster-relief total to more than \$800,000.

Caterpillar followed its initial investment with a significant equipment donation through Haytrac, its dealer in Haiti. Within two weeks of the earthquake, Caterpillar supplied excavators, loaders, track-type tractors and specialty tool attachments to assist with the massive clean-up efforts. A team of Caterpillar engineers and operators accompanied the equipment to Haiti to provide expertise and training.

In the summer of 2010, the Caterpillar Foundation partnered with the Pan American Development Foundation (PADF) on a drainage canal clean-up project impacting more than 150,000 Haitians.

The transformational project had additional economic benefits for the region, as more than 1,200 local jobs were created.

The Foundation contributed \$1 million as part of a joint relief investment with PADF and the Clinton Bush Haiti Fund. The combined grant of \$3 million was presented to the Organization of American States for the repair of multi-family homes in the areas hit hardest by the earthquake.

Today, restoration efforts in Haiti continue through innovative organizations such as Water.org, co-founded by Matt Damon (*pictured right*) and Gary White (*pictured left*).

Caterpillar’s partnership with Water.org helps provide clean water and sanitation to many of the world’s poorest regions.

Projects in Haiti involve educating residents on proper sanitation practices, developing an adequate sewer infrastructure and creating financial models to help the Haitians build a sustainable cycle of progress, including the repair of existing wells and the creation of new wells. With a strong education and

training process, Water.org works with Haiti Outreach, a Minnesota-based non-governmental organization, to ensure continuity of assistance to the impacted communities.

“We should all be proud of those who have given their donations of money, time and prayers to the people of Haiti,” said Jim Baumgartner (*pictured center*), Caterpillar’s director of Corporate Public Affairs. “I was able to see first hand how

our new Foundation partner, Water.org, operates in countries like Haiti to help those less fortunate than we are. Their work, along with that of PADF and our local dealer, Haytrac, is changing people’s lives.

There is a lot of work left to be done, but we can be assured that Team Caterpillar will be there to help.”

The Caterpillar Foundation is the philanthropic arm of Caterpillar Inc. Founded in 1952, the Caterpillar Foundation has contributed more than \$500 million to help make sustainable progress possible around the world by providing program support in the areas of environmental sustainability, access to education and basic human needs.

To learn more about the global impact of the Caterpillar Foundation, please visit [caterpillar.com/foundation](http://caterpillar.com/foundation).



Caterpillar works with Water.org to help provide clean water around the world.

## Contact Us

*Cat Folks* is published quarterly by Corporate Public Affairs, a department of Caterpillar’s Human Services Division. Please send comments, questions and story ideas to [cat\\_folks@cat.com](mailto:cat_folks@cat.com). You can visit us online at [caterpillar.com/company/employees-and-retirees](http://caterpillar.com/company/employees-and-retirees). We always appreciate your feedback!

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