



A Global Strategic Player

For more than 85 years, Caterpillar Inc. has been making sustainable progress possible and driving positive change on every continent. With 2012 sales and revenues of \$65.875 billion, Caterpillar is the world's leading manufacturer of construction and mining equipment, diesel and natural gas engines, industrial gas turbines and diesel-electric locomotives. The company also is a leading services provider through Caterpillar Financial Services, Caterpillar Remanufacturing Services and Progress Rail Services.

Stock Performance

CAT (Common Stock)	
Exchange	NYSE (US Dollar)
Price	\$83.71
Change (%)	▼ 0.36 (0.43%)
Volume	1,589,680
52 Week Low	\$79.49
Market Cap	\$54,211,851,650
Rolling EPS	5.25
PE Ratio	15.9448
Shares Outstanding	647,615,000
Data as of 10/30/13 12:30 p.m. ET	



Recent Headlines & Events

10/23/13 - 7:31 a.m.
[Cat Financial Announces Third-Quarter 2013 Results](#)

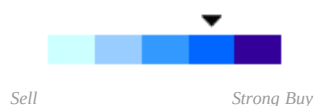
10/23/13 - 7:30 a.m.
[Caterpillar Reports Third-Quarter Results, Provides Updated 2013 Outlook and Preliminary 2014 Outlook](#)

10/09/13 - 12:30 p.m.
[Caterpillar Inc. to Announce Third-Quarter 2013 Financial Results on October 23](#)

Date	Title
11/06/13 11:00 a.m. CT	Caterpillar Inc. at Baird's 2013 Industrial Conference Location Four Seasons Hotel Chicago Chicago, IL US
11/14/13	Caterpillar Inc. at Goldman Sachs Industrials Conference 2013 Location Boston, MA US

Analyst Estimates / Ratings

Mean Recommendation: 2.5



EPS Trend				
		Current	30 Days Ago	90 Days Ago
QTR	Dec 13	1.29	1.82	1.81
QTR	Mar 14	1.25	1.49	1.51
QTR	Jun 14	1.52	1.69	1.71
QTR	Sep 14	1.50	1.86	1.92
FY	Dec 13	5.50	6.30	6.33
FY	Dec 14	5.82	7.21	7.27

SEC Filings

Filing Date	Form	
10/23/13	8-K	
10/23/13	8-K	
10/23/13	8-K	
10/22/13	8-K	

Ownership Summary

Shareholders				
	Holders	Value (\$MM)	% O/S	Shares
Institution	1,460	33,715.53	63.0	407,709,263
Mutual Fund	1,650	11,729.10	21.6	139,752,084
Insider *	91	428.96	0.8	5,089,712

*Insider values reflect direct beneficial ownership.

Top Holders				
	Shares Held	% O/S	Share Change	Filing Date
State Street Global Advisors (US)	70,818,256	10.9	1,261,586	06/30/13
The Vanguard Group, Inc.	33,990,309	5.3	426,595	06/30/13
BlackRock Institutional Trust Company, N.A.	22,906,230	3.5	-173,790	06/30/13
Capital World Investors	21,055,000	3.3	1,000,000	06/30/13
State Farm Insurance Companies	19,707,800	3.0	0	06/30/13
Bill & Melinda Gates Foundation	10,760,857	1.7	500,000	06/30/13
Bank of America Merrill Lynch (US)	6,888,451	1.1	494,553	06/30/13
Fidelity Management & Research Company	6,575,568	1.0	-1,437,718	06/30/13
Northern Trust Investments, N.A.	5,840,954	0.9	-24,329	06/30/13
Norges Bank Investment Management (NBIM)	5,183,052	0.8	443,996	12/31/12

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Minimum 20 minutes delayed